

# Applications - Tuning TCP

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2003-10-23

## Lecture topics

- Why QoS for TCP?
- TCP and quality needs
- Effect of QoS mechanisms on TCP
- Explicit Congestion Notification

Chapters from book: none (extra material)

## TCP is elastic ...

- Dominant network transport protocol
- TCP provides reliable byte stream
- Has two rate limiting mechanisms
  - window-based flow control: not to overrun receiver
  - AIMD (Adaptive Increase, Multiplicative Decrease) controlled congestion window: not overload network
    - \* probes available bandwidth
    - \* controls number of packets in network
- TCP congestion control reacts on packet losses
  - ⇒ network must signal coming congestion by dropping packet
  - ⇒ delay of RTT (round trip time) in feedback
- Round-trip time estimation essential
- Throughput depends on
  - round trip time
  - packet loss rate

Throughput (in segments) is something like

$$B < \min \left( \frac{W}{RTT}, \frac{1}{RTT \sqrt{p}} \right) \quad (1)$$

$B$  number of segments in time unit,  $RTT$  round trip time,  $W$  window size in segments. Equation 1 is only approximate for steady-state and does not hold for large packet losses or during slow-start.

Number of congestion signals (dropped packets or multiple acks) depends on size of a flow and for each signal rate is halved. This results two multiplicative effects and  $1/\sqrt{p}$  term [4, footnote 6 on pages 4–5].

## ... users are not

- TCP can live with packet rate of 0.01 Hz (<15 bit/s)
- Applications need some minimum bandwidth to maintain their fidelity
- Interactive applications need minimum response time  
⇒ more on next lecture...

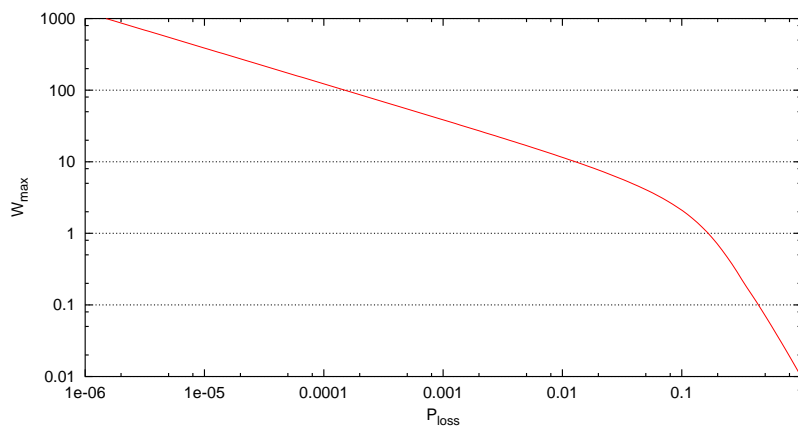
## How loss and delay affect TCP

- Both decrease throughput
- Loss rate
  - < 1 % very little effect
  - > 20 % throughput very low
- Delay
  - at longer delays window size is limiting factor
- One approximation of bandwidth

$$B \approx \min \left( \frac{W}{RTT}, \frac{1}{RTT \sqrt{\frac{2p}{3}} + T_0 \min \left( 1, 3\sqrt{\frac{3p}{4}} \right) p(1 + 32p^2)} \right) \quad (2)$$

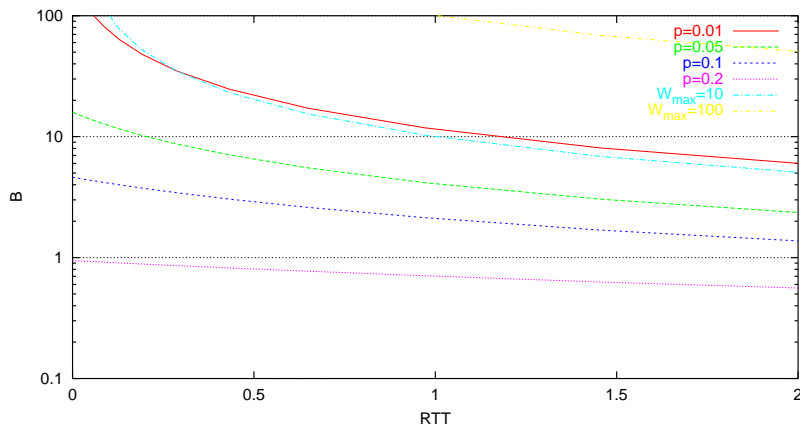
[6]

## Loss and maximum window

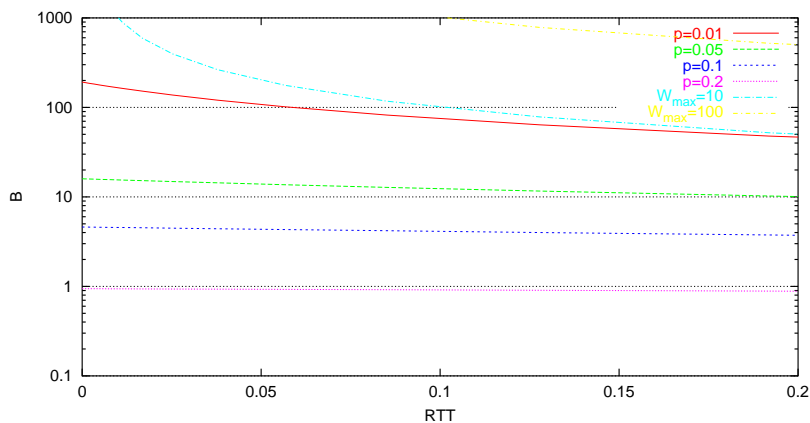


$RTT = 1$

## Throughput: loss and round trip time



## Throughput: loss and round trip time



## Estimating round trip delay

- *Too short* results superfluous retransmissions
- *Too long* reduces network utilisation and throughput
- Jacobson/Karels [4]

$$\begin{aligned}
 Diff &= RTT_{Sample} - RTT_{Est} \\
 RTT_{Est} &= RTT_{Est} + \delta Diff \\
 Dev &= Dev + \delta (Diff - Dev) \\
 RTO &= RTT_{Est} + \phi Dev \\
 0 &\leq \delta \leq 1 \\
 \phi &= 4
 \end{aligned}$$

- Many “better” estimators proposed

## Estimating network capacity

- Initially no knowledge of network status  
 $\Rightarrow cwnd \leq \min\{2SMSS, 2segment\}$
- Try to use as much network as possible  
 $\Rightarrow cwnd = cwnd + SMSS$  by each acknowledgement
- After a point ( $cwnd > ssthresh$ ) limit rate of increase  
 $\Rightarrow cwnd = cwnd + SMSS^2 / cwnd$  by each acknowledgement



- Burst may exceed allowed *burst size*
  - ⇒ Tail of bursts gets marked out-profile
  - ⇒ Loss of multiple segments
  - ⇒ Possibly Retransmit Timeout

## Partial ACK

- With a large *FlightSize* there may be several holes
  - ⇒ a new fast recovery for each hole
  - ⇒ *cwnd* reduced for each, maybe too much
- Reduce only once for each *FlightSize*
- Still problems identifying which segment(s) to resend

## Selective Acknowledgement

- Helps to identify lost segments [5]
- Use agreed on SYN-segments with *TCP Sack-Permitted Option*
- In case of loss, receiver sends ACK (as normal), and a partial list (TCP maximum option size of 40 bytes allows 4 blocks; 3 if Timestamp option is used) of some segments received
- First block includes SACK relevant for this ACK
- Receiver *may drop* some data that is SACKed but not ACKed
- D-SACK (Duplicate SACK [3]) reports duplicates received
  - ⇒ info about spurious retransmits

## Elephants and mice

**Elephant** A flow which lasts for a long time and has many bytes in it

- terminal sessions
- usenet news server-server traffic
- database synchronisation
  - ⇒ steady-state communication

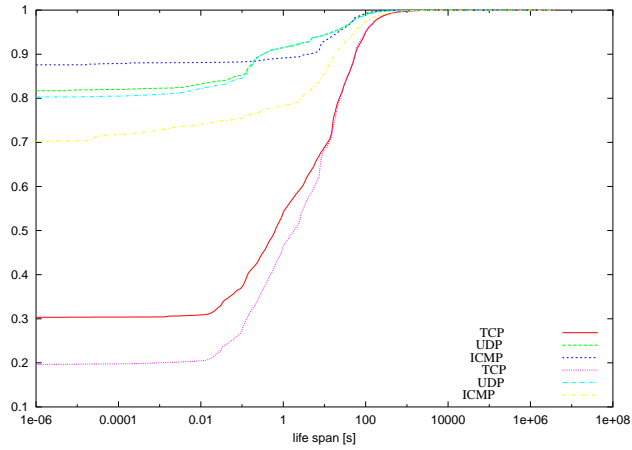
**Mice** Short-lived flow with only few segments

- HTTP requests
- DNS (on top of UDP)
  - ⇒ only in slow-start phase
  - ⇒ does not react to congestion control, unfairness
- majority of flows
- state sharing between TCP flows?

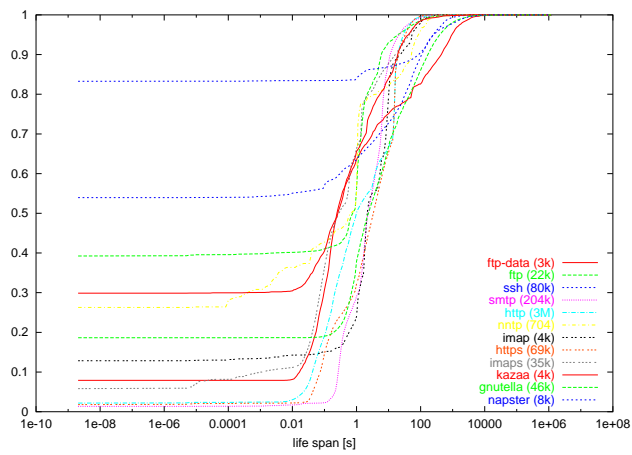
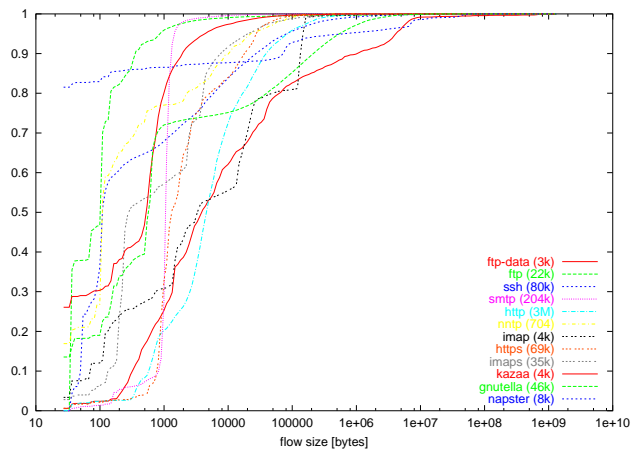
## Flow lifetime

- Lifetimes vary
  - two packets exchanged in few milliseconds: one DNS query
  - millions of packets in a month: several TCP connections between two servers
- Flow timeout depends on application

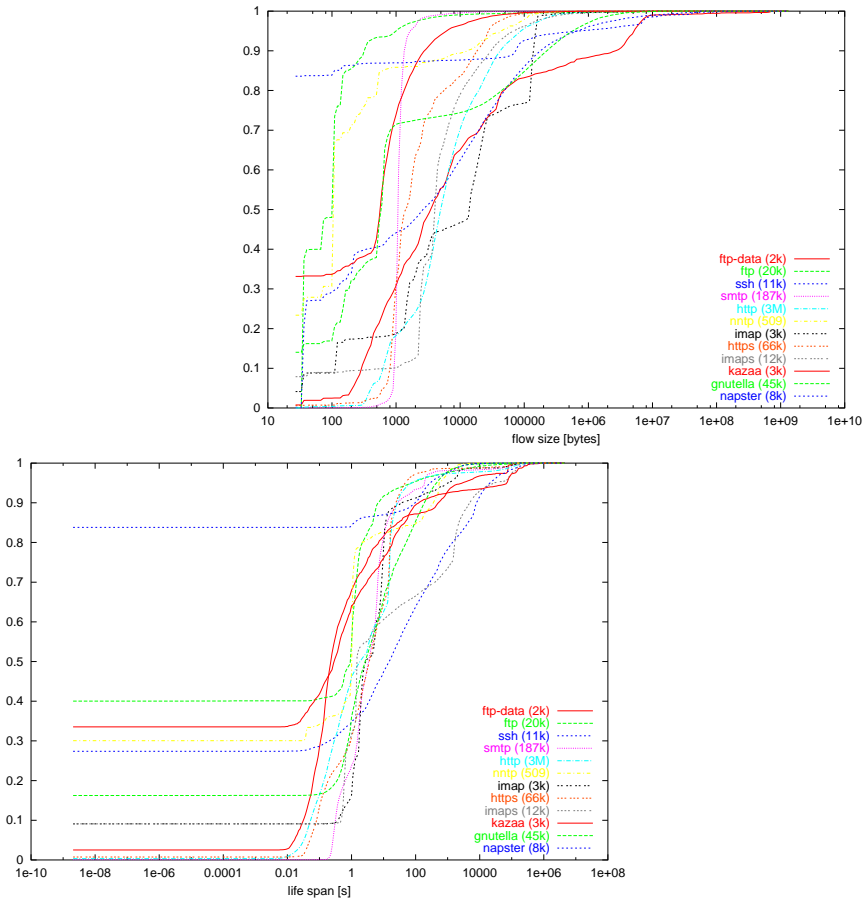
## Protocol-level flows with 60-second timeout



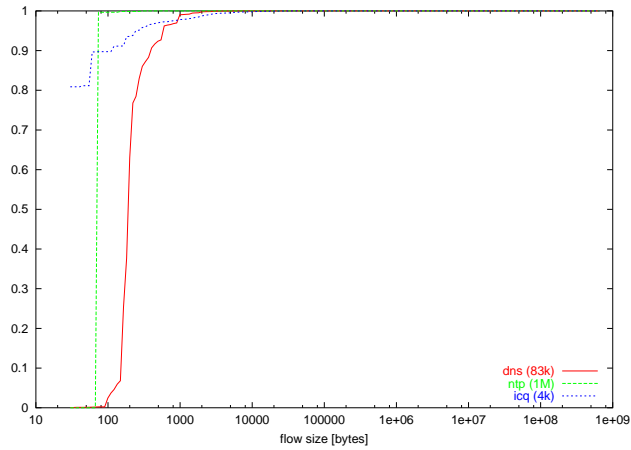
## TCP, 60-second timeout, 5-tuple

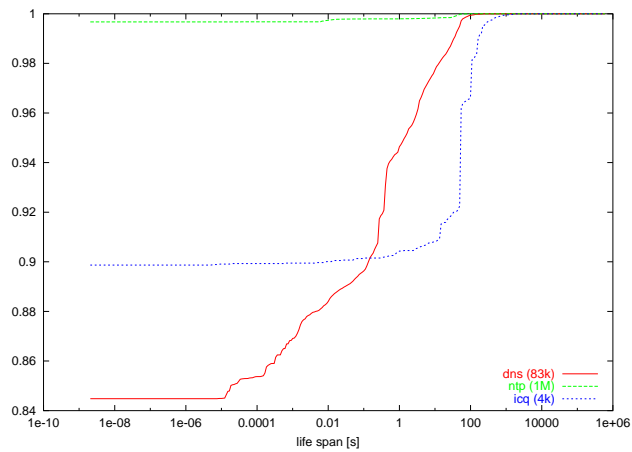


## TCP, 48-hour timeout, 4-tuple



## UDP, 60-second timeout, 5-tuple





## To be or not to be TCP-friendly

- TCP is the most important transport protocol
  - ⇒ network optimised for TCP: this includes buffer dimensioning and packet drop algorithms
- A protocol (application) can be TCP friendly
  - behaves similarly in event of congestion
  - uses *fair share* of resources
- Or not
  - gets more than fair share of bandwidth
  - causes fluctuations in network load
  - may result in *congestion collapse*
- “General public” should have some protection against misbehaving bandwidth pirates
  - ⇒ Make ’em pay!

## Should connections be limited?

- Limit number of TCP connections at link
  - guarantee minimum bandwidth
  - goodput rate is low with high losses
- Limit maximum flow speed
  - if a flow takes a great partition of link capacity, slow it
  - charge only high-bandwidth flows
  - go around by using multiple TCP flows
    - ⇒ limit by host, network...
- Report congestion to edge routers to enforce policing for misbehaving flows
- Some utility, however problems with
  1. scalability
  2. fairness
  3. accuracy



## Active queue management

- RED (Random Early Detection) drops packet even if queue not full
  - statistical dropping
    - ⇒ dropping proportional to bandwidth used (independent of flow count)
  - aim to avoid synchronisation of flows
- Packet drop crude *signal* of congestion
  - delivered packet has better utility than dropped
    - \* has already used some network resources
    - \* better fidelity without retransmissions
    - \* TCP must wait for multiple packets before it can distinguish between reorder and drop
- Some better indication needed

## Signals of Congestion

### IP ICMP Source Quench

- router sends if its resources are exhausted [2]
- sender *must* limit transmission rate; for TCP react as
  - retransmission timeout had occurred[1], or
  - cut congestion window into half as in Fast Retransmit
- adds traffic to congested network
  - ⇒ needs rate limiting
- fast feedback: less than RTT

### Packet networks DECBit[10]

- a bit is set by average queue size
- if more than half of packets have bit set
  - ⇒ decrease congestion window multiplicatively, otherwise increase additively

### Frame Relay FECN/BECN

- based on virtual channels, set up by signalling or network management
- FECN set if packet experienced congestion in transit
- BECN set if congestion in reverse direction

## IP Explicit Congestion Notification

- Possibility to indicate congestion in network by marking packets[9]
  - ⇒ no traffic added
- Internet routes asymmetric
  - ⇒ recipient must echo; needs support in both end systems
  - ⇒ delay of RTT
- If transport protocol is *ECN capable*, it may set ECT bit ECN Capable Transport
- If router *would drop* and *ECT is set*
  - ⇒ router sets CE bit (Congestion Experienced)
- Transport protocol *must* react if packet had *been drop*

**TCP** reduce congestion window

**VoIP** reduce sending rate; possibly using higher compression rates

**multicast** select stream with lower rate, if there is one available (as should be because ECN is activated)

- Redefined ToS field

0	1	2	3	4	5	6	7
DS field, DSCP						ECN field	

- ECN codepoints

0	0	Not-ECT
0	1	ECT(1) (ECN-Capable Transport)
1	0	ECT(0)
1	1	CE (Congestion Experienced)

Older specification [8] used ECT bit (bit 6) to indicate ECT and if congestion was experienced, CE bit (bit 7) was set. Value “01” (currently ECT(1)) was not defined.

Use of two different ECT values can serve 1-bit nonce to protect end systems from misbehaving network elements.

## TCP and ECN

0			1						2						3																
0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1
Data Offset	Reserved		C	E	U	A	P	R	S	F	Window																				
			R	E	G	K	H	T	N	N																					

- Use negotiated at connection setup

1. connection initiator sets CWR (Congestion Window Reduced) and ECE (ECN-echo) bits
2. recipient replies with CWR clear and ECE set
3. connection may use CE codepoint

Note that use of ECN differs from other TCP extension using options. This may result some problems with non-compliant firewalls and end systems.

- Congestion signalled once for RTT

1. receives TCP segment with CE bit set, sets ECE bit for all TCP segment it sends
2. receives TCP segment with ECE bit set, reduces congestion window and sets CWR bit; ignores ECE until next RTT
3. receives TCP segment with CWR bit set, stops setting ECE bit

## Possible problems in ECN

- Unresponsive hosts

- host may report it honours ECN  
⇒ packet not dropped but marked
- ignores CE, does not reduce rate
- host can behave badly without ECN by increasing sending rate with FEC (Forward Error Correction)

- Feedback delay

- asymmetric routing; a router may not see other direction

- IP tunnels; IPSec

- DS byte “volatile” (not covered by AH or ESP headers)
- in tunnel mode IPSec outer header discarded at end of tunnel
- should ECN or DiffServ codepoints be copied to inner header?
- depends on situation  
⇒ ECN Tunnel attribute for IPSec SA (Security Association)

- TCP specification

*If an incoming segment has a security level, or compartment, or precedence which does not exactly match the level, and compartment, and precedence requested for the connection, a reset is sent and connection goes to the CLOSED state. The reset takes its sequence number from the ACK field of the incoming segment. [7, p. 37]*

- problems with both DiffServ and ECN
- only few implementations check for those  
⇒ TCP updated in RFC2873 to ignore precedence [11]

## Summary

- While elastic, TCP needs some QoS
- Bursty losses bad; especially with small window
- Losses in wireless network may trigger backoff
- ECM provides gentler signal of congestion

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