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Flow-level stability and performance of channel-aware priority-based schedulers

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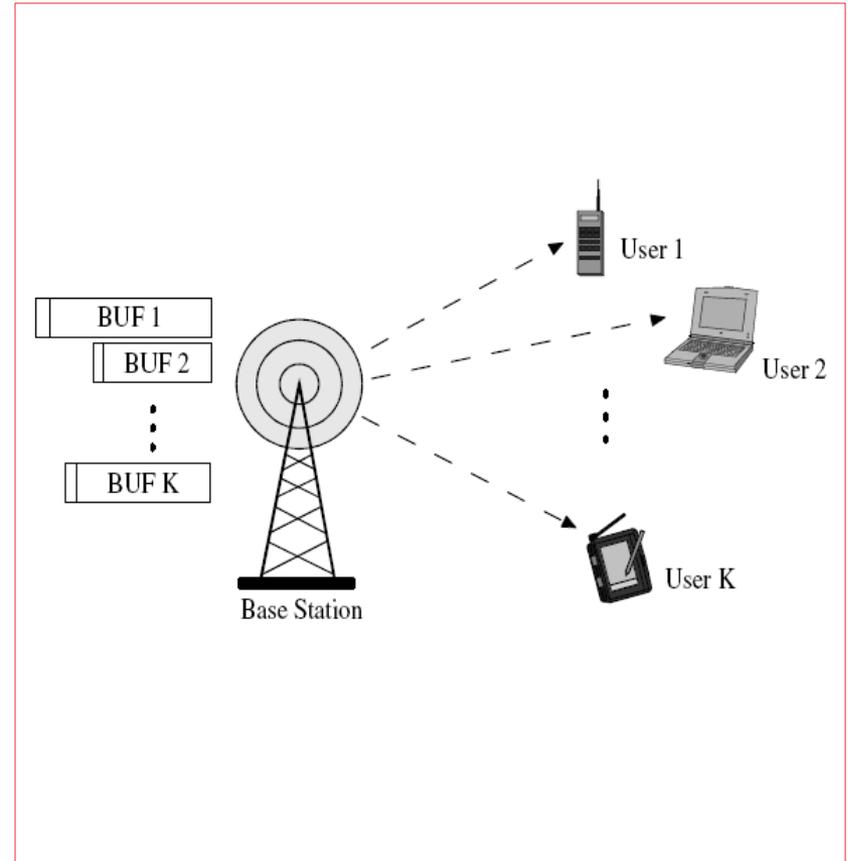
Paris, France

Outline

- Problem formulation
- Channel-aware priority-based schedulers
- Stability results
- Numerical study
- Conclusions

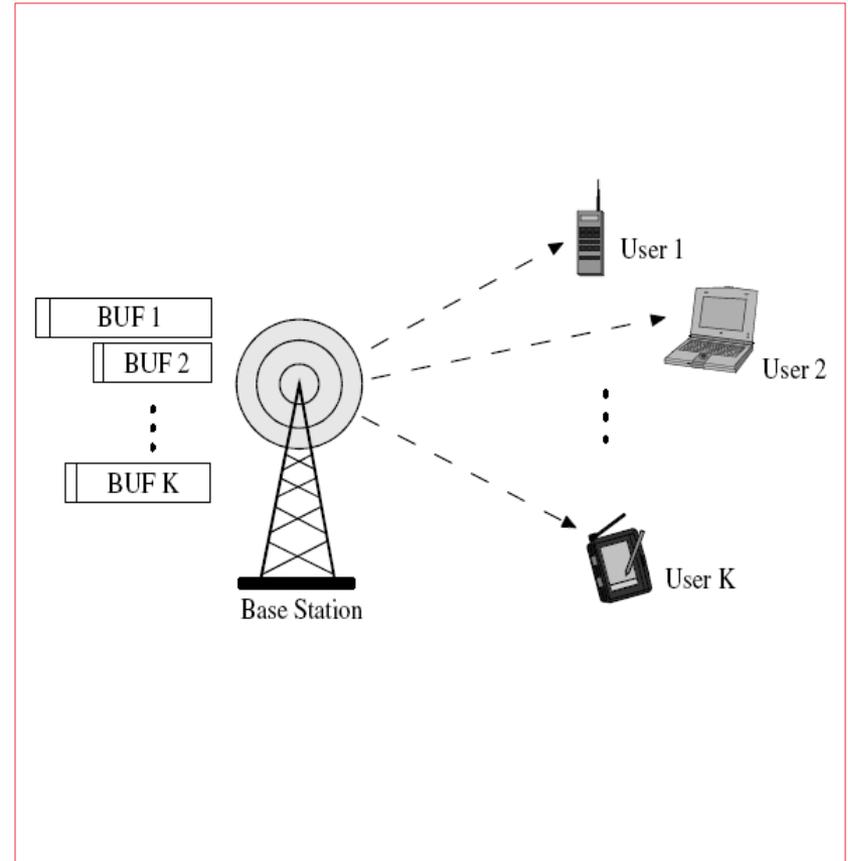
Introduction

- **Downlink data transmission** in a cellular system
- Traffic consists of **elastic flows**
 - file transfers using TCP
- Base station transmits to a single user in a time slot
 - decided by the **scheduler**
 - time scale of milliseconds
- **Dynamic traffic setting**
 - random arrivals and departures of users (= flows)
 - time scale of seconds
- **Flow-level stability and performance of various schedulers?**



Schedulers

- Non-channel-aware schedulers
 - Scheduling based on average rate information
 - Example: Round Robin (RR) scheduler
- Channel-aware schedulers
 - Scheduling based on instantaneous rate information
 - Examples: Maximum Rate (MR) scheduler, Relative Best (RB) scheduler, Proportional Fair (PF) scheduler



User model

- K user classes
- Class- k users have stationary IID rate processes $R_i(t)$
 - Mean rate r_k (bps)
 - Maximum rate r_k^* (bps)
- If user i scheduled at time slot t , the corresponding flow is served with rate $R_i(t)$

Channel-aware scheduling

- Base station
 - knows the instantaneous rates $R_i(t)$ of all active users i
 - can favor those users having instantaneously good channel
- Static setting
 - Queue length-based policies shown to have many desirable properties [Mandelbaum and Stolyar (2004), Stolyar (2005)]
- Not much work on dynamic setting
 - Seminal work on stability by [Borst (2005), Borst and Jonckheere (2006)]
 - Minimizing mean delay very difficult and hardly anything is known

Utility-based schedulers

- Base station knows
 - instantaneous rates $R_i(t)$ of all active users i
 - throughputs $T_i(t)$ of all active users i
- **Definition:** Scheduling based on
 - utility function $U(\theta)$
 - time slot t allocated to user i^* such that
 - $i^* = \arg \max_i R_i(t) U'(T_i(t))$
- **Examples:**
 - Alpha-fair schedulers
 - Proportional Fair (PF) scheduler

Alpha-fair schedulers

- **Definition:** Utility-based scheduler with utility function
 - $U(\theta; \alpha) = (1 - \alpha)^{-1} \theta^{1 - \alpha} \quad (\alpha \neq 1)$
 - $U(\theta; 1) = \log \theta \quad (\alpha = 1)$
 - originally defined in [Mo and Walrand (2000)]
- **Example: Proportional Fair (PF) scheduler**
 - alpha-fair scheduler with $\alpha = 1$
 - time slot t allocated to user i^* such that
 - $i^* = \arg \max_i R_i(t)/T_i(t)$
 - implemented in the HDR system [Viswanath et al. (2002)]

Dynamic traffic setting

- Class- k users (= flows) **arrive**
 - according to an independent Poisson process
 - with rate λ_k (flows per second)
- **Flow sizes** X_i IID
 - with mean x (bits)
- **Flow i departs**
 - as soon as all X_i bits of the flow have been transmitted

Traffic load

- Class- k bit arrival rate $\lambda_k x$ (bps)
- Traffic load $\rho_k^* = \lambda_k x / r_k^*$ (w.r.t. the maximum rate)
- Traffic load $\rho_k = \lambda_k x / r_k$ (w.r.t. the mean rate)
- Note: $\rho_k^* < \rho_k$

Known stability results

- **Definition: Flow-level stability**
 - The total number of flows does not explode!
- **Necessary** stability condition for **non-channel-aware** schedulers:
 - $\rho_1 + \dots + \rho_K \leq 1$ [classic queueing theory]
- **Necessary** stability condition for **channel-aware** schedulers:
 - $\rho_1^* + \dots + \rho_K^* \leq 1$ [Borst and Jonckheere (2006)]
- **Sufficient** stability condition for **alpha-fair** schedulers:
 - $\rho_1^* + \dots + \rho_K^* < 1$ [Borst and Jonckheere (2006)]

Overview

- We study **priority-based channel-aware** schedulers
 - Priority can be any strictly increasing function of instantaneous rate
 - Includes as special cases many known channel-aware schedulers
- **Stability**
 - Achieving maximum stability region is a robustness property
 - We give a **general condition when the necessary condition is also sufficient**
 - When the necessary condition is not sufficient, we give a sufficient condition for some special cases
- **Performance**
 - We have also made simulation studies to gain insight on actual performance (including comparisons against alpha-fair policies)

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Rate-based priority schedulers

- Base station knows
 - instantaneous rates $R_i(t)$ of all active users i
- **Definition:** Scheduling based on
 - class-specific increasing **priority function** $h_k(r)$
 - determines the instantaneous **priority** $P_i(t) = h_{k(i)}(R_i(t))$ of user i
 - time slot t allocated to user i^* such that
 - $i^* = \arg \max_i P_i(t) = \arg \max_i h_{k(i)}(R_i(t))$
- **Examples:**
 - **Weight-based priority schedulers** [Borst (2005)]
 - **CDF-based priority schedulers** [Park et al. (2005)]

Weight-based priority schedulers (1)

- **Definition:** Rate-based priority scheduler with
 - **linear** priority functions $h_k(r) = w_k r$
- **Examples:**
 - Absolute rate priority schedulers (e.g. MR)
 - Relative rate priority schedulers (e.g. RB)
 - Proportional rate priority schedulers (e.g. PB)
 - **MR**, **RB**, and **PB** break ties within any priority class at random

Weight-based priority schedulers (2)

- **Definition: Absolute rate priority** scheduler ($w_k = 1$):
 - time slot t allocated to user i^* such that
 - $i^* = \arg \max_i R_i(t)$
- **Definition: Relative rate priority** scheduler ($w_k = 1/r_k$):
 - time slot t allocated to user i^* such that
 - $i^* = \arg \max_i R_i(t)/r_{k(i)}$
- **Def: Proportional rate priority** scheduler ($w_k = 1/r_k^*$):
 - time slot t allocated to user i^* such that
 - $i^* = \arg \max_i R_i(t)/r_{k(i)}^*$

CDF-based priority schedulers

- **Definition:** Rate-based priority scheduler with
 - **non-linear** priority functions $h_k(r) = F_k(r)$
 - where $F_k(r) = P\{R_k \leq r\}$ is the stationary CDF of the corresponding rate process
- **Example: CS** breaks ties within any priority class at random

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Rate model (1)

- **Assumption:** a **finite** number of possible rate values
- Class- k users
 - Maximum rate r_k^*
 - Second highest rate r_k^{**}
 - Maximum priority $p_k^* = h_k(r_k^*)$
 - Second highest priority $p_k^{**} = h_k(r_k^{**})$
- **Note:**
 - Proportional rate priority scheduler: $p_k^* = 1$ for all k
 - CDF-based priority scheduler: $p_k^* = 1$ for all k

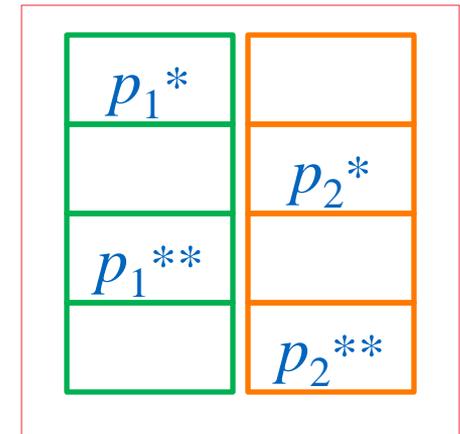
Rate model (2)

- **Example:** Possible rate values for the HDR system

Index	Rate (kbit/s)
r_1	38.4
r_2	76.8
r_3	102.6
r_4	153.6
r_5	204.8
r_6	307.2
r_7	614.4
r_8	921.6
r_9	1228.8
r_{10}	1843.2
r_{11}	2457.6

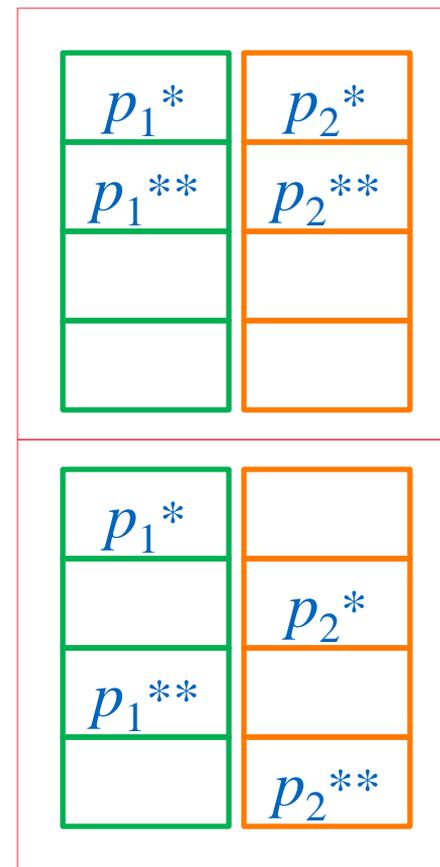
Main result

- Consider a **rate-based priority** scheduler π
- **Result 1:** If $p_k^* > p_l^{**}$ for all $k \neq l$, then scheduler π is **stable under condition**
 - $\rho_1^* + \dots + \rho_K^* < 1$
 - i.e. the same condition as for the alpha-fair schedulers
- **Intuitive proof:**
 - Since $p_k^* > p_l^{**}$ for all $k \neq l$, all classes k will be served with their own maximum rate r_k^* at the stability limit



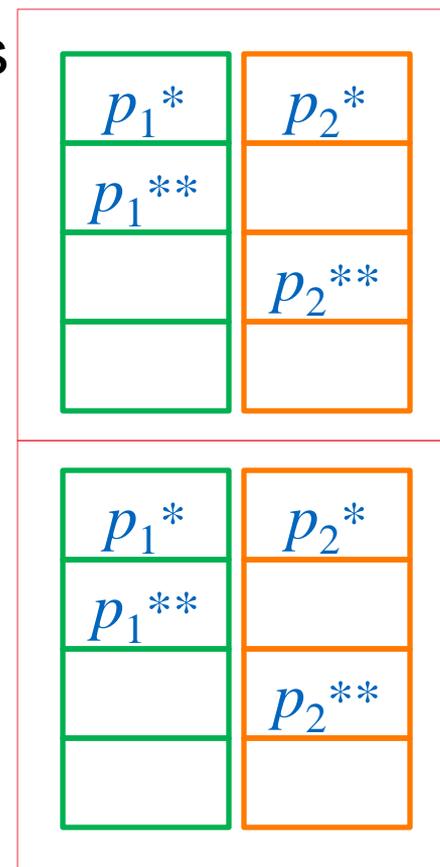
Corollaries (1)

- If $r_k^* = r_l^*$ for all $k = l$, then any **absolute rate priority** scheduler is stable under the given condition
- If $r_k^*/r_k > r_l^{**}/r_l$ for all $k \neq l$, then any **relative rate priority** scheduler is stable under the given condition



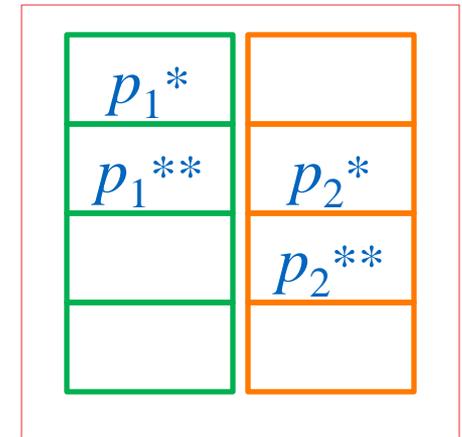
Corollaries (2)

- Any **proportional rate priority** scheduler is stable under the given condition
- Any **CDF-based priority** scheduler is stable under the given condition



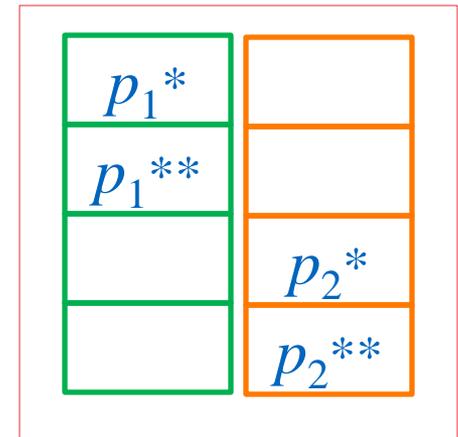
Another result

- Consider a **rate-based priority** scheduler π that **breaks ties within any priority class at random**
- **Result 2:** If $p_k^* \geq p_l^{**}$ for all $k \neq l$, then scheduler π is **stable under condition**
 - $\rho_1^* + \dots + \rho_K^* < 1$
- **Intuitive proof:**
 - If $p_k^* = p_l^{**}$ for some $k \neq l$, the tie-breaking rule guarantees that class k will take over class l at the stability limit, and, thus, will be served with its own maximum rate r_k^*



Further stability conditions for $K = 2$

- Assumption: $K = 2$
- Consider a **rate-based priority** scheduler π that **breaks ties within any priority class at random**
- **Result 3:** If $p_2^* < p_1^{**}$, then scheduler π is **stable under condition**
 - $P\{h_1(M_1) > p_2^*\} + \rho_2^* < 1$
- **Note:** The condition above is **more stringent** than
 - $\rho_1^* + \rho_2^* < 1$



Numerical example

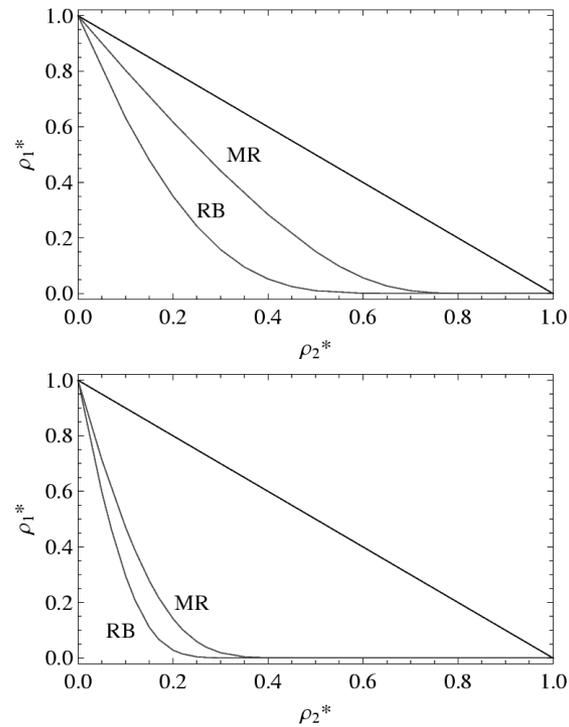


Figure 1: Stability region as a function of ρ_1^* and ρ_2^* when $j_1 = 7$ (upper panel) and $j_1 = 4$ (lower panel).

Impact of a continuous rate distribution

- Consider the case where the **rate distribution is continuous**, however, with a **bounded support**
- Is the natural condition below sufficient for stability?
 - $\rho_1^* + \dots + \rho_K^* < 1$
- **Proportional rate and CDF-based priority** schedulers are still stable under the given condition
- **Absolute rate priority** scheduler is stable if the class-specific rate distributions have the same support
- **Relative rate priority** scheduler needs a more stringent condition for stability

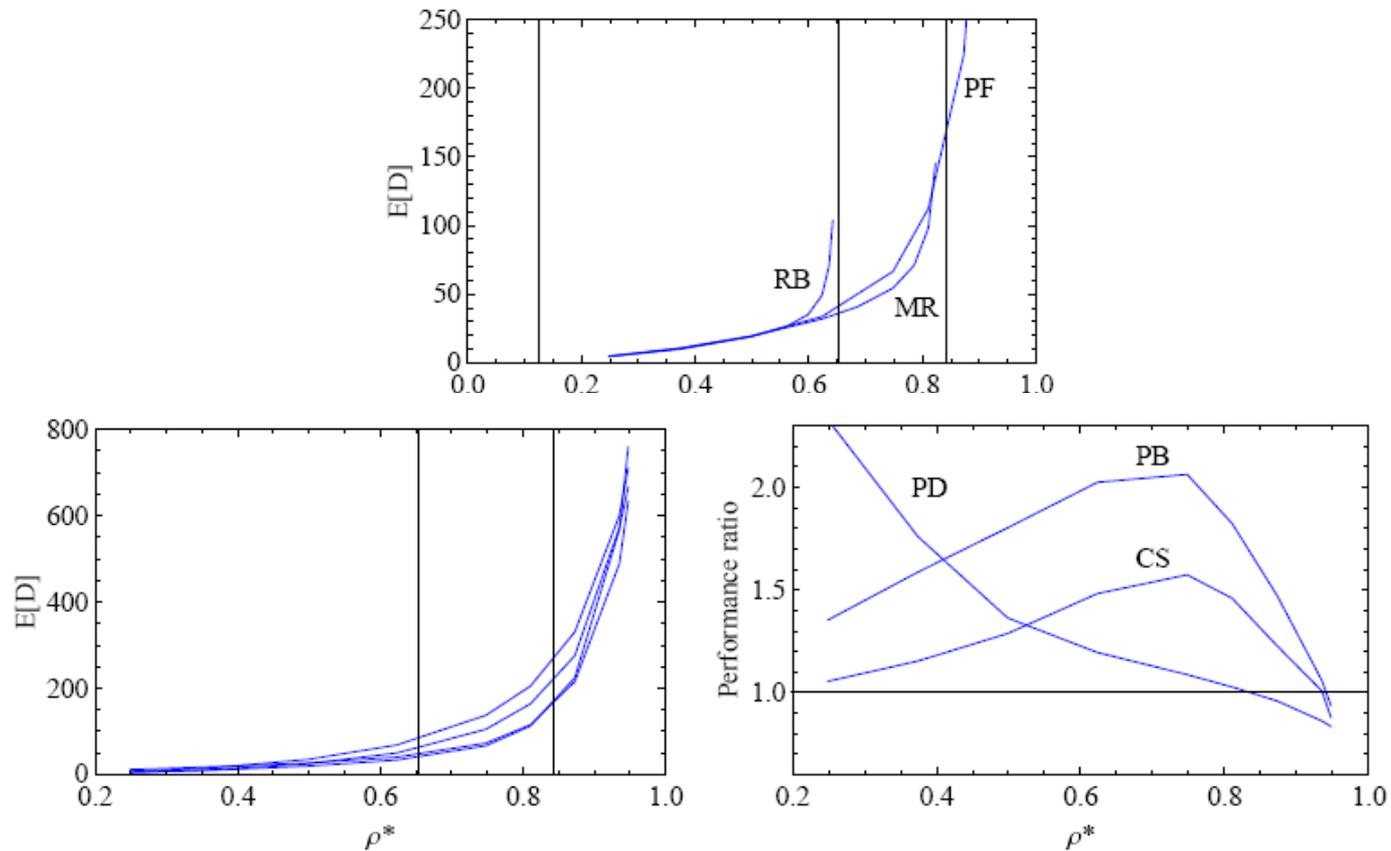
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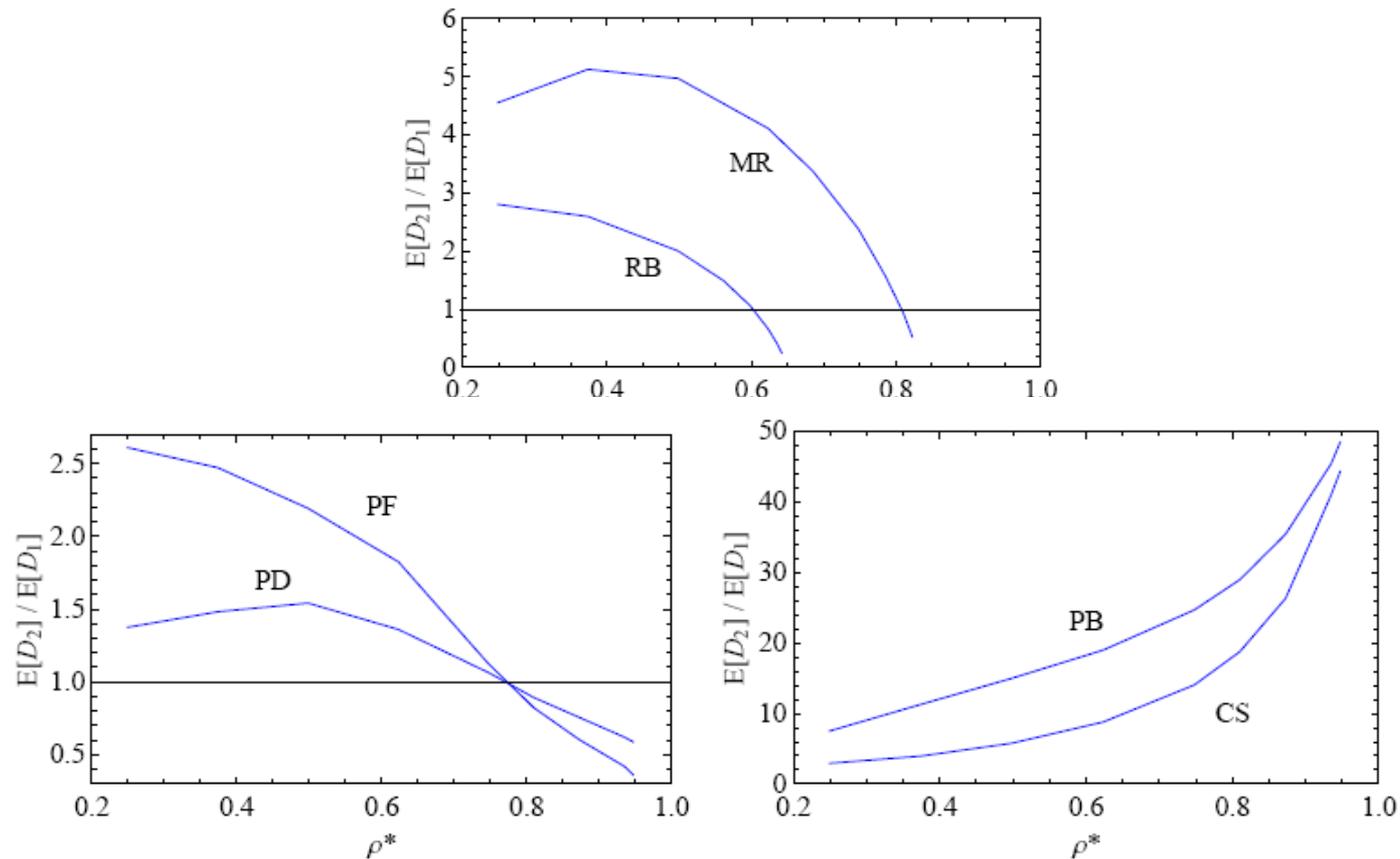
Numerical study

- Schedulers
 - Priority-based: MR, RB, PB and CS with random tie-breaking
 - Utility-based: PF ($\alpha = 1$) and PD ($\alpha = 2$)
 - Also, investigate impact of using SRPT-like tie-breaking rules
- Parameters
 - 2 user classes with flow arrival rates $\lambda_1 = \lambda_2 = 1/2$
 - HDR transmission rates, i.e., 11 possible rate values
 - Class 1 flows can achieve 7 lowest rates
 - Class 2 flows can achieve all 11 rates
 - Truncated geometric rate distributions with parameters $q_1 = 1, q_2 = 1/2$

Overall performance (mean delay)

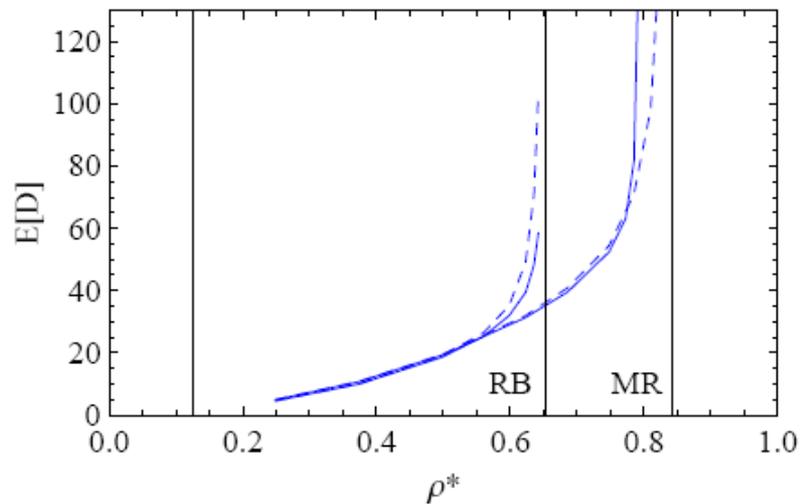


Fairness (mean delay ratio)

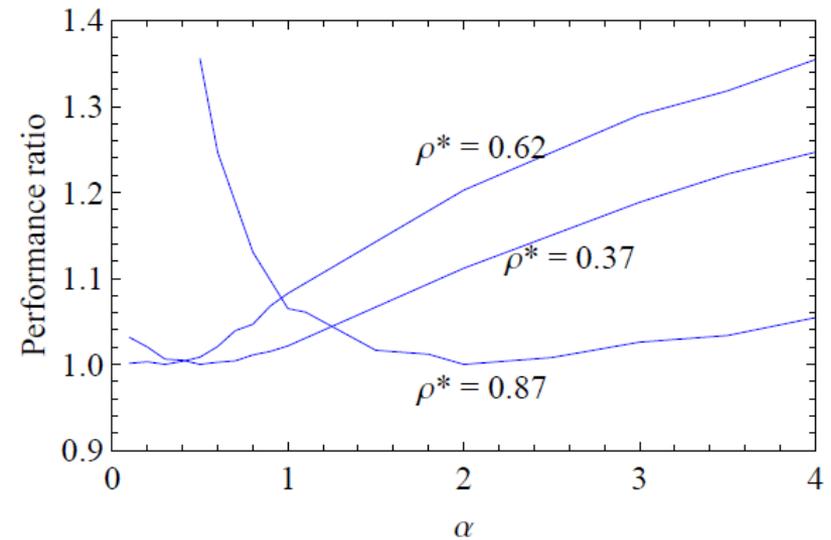


Other performance comparisons

Impact of SRPT-like tie-breaking



Optimizing parameter α



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Conclusions

- Stability
 - Proportional rate and CDF-based priority schedulers do have the maximum stability region
 - Absolute rate priority schedulers don't necessarily have
 - Relative rate priority schedulers don't usually have
- Performance
 - MR and RB offer quite good performance but may become unstable
 - PB and CS policies are stable but very unfair
 - PF performs very well over a large region of loads (good overall)
 - PD can outperform PF at very high loads
 - SRPT-like tie-breaking heuristics do not work at the time-slot level
 - To minimize the mean delay, flow-level information can be used to tune the packet level schedulers

The End