

# Session Initiation Protocol

SIP protocol and its extensions

SIP Service Architecture

A lot of this material  
is based on proposals =>  
may change quickly

SIP in 3G

## Sources

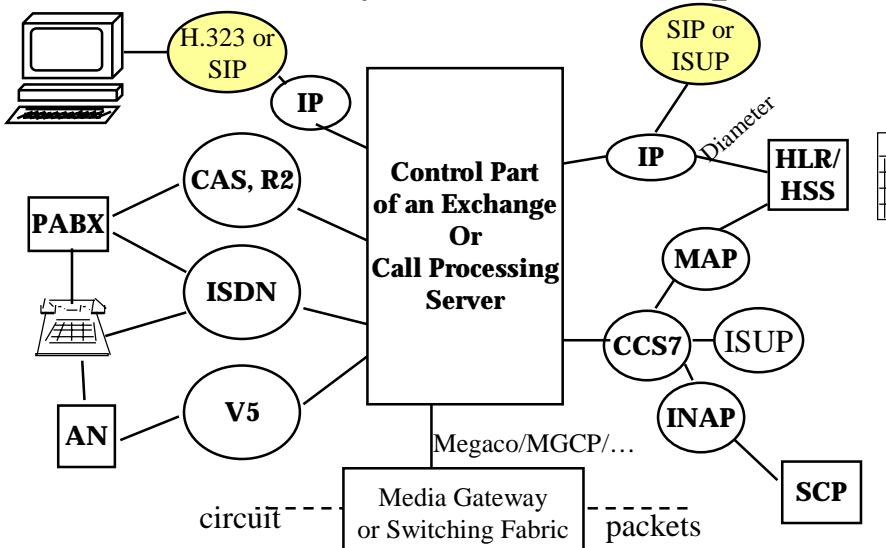
IETF:

- RFC 3261: SIP: Session Initiation Protocol
- RFC 3262: Reliability of Provisional Responses in SIP (PRACK)
- RFC 3265: SIP Specific Event Notification
- RFC 3311: SIP UPDATE method
- RFC 3398: ISUP to SIP mapping
- RFC 3428: SIP Extension for Instant Messaging
- RFC 2327: SDP: Session Description Protocol
- RFC 3264: An Offer/Answer Model with Session Description Protocol (SDP)

3G Release 5:

- 3GPP TS 24.228 v5.2.0 (2002-09) Signaling flows for the IP MM call control based on SIP and SDP; stage 3 (Release 5)
- 3GPP TS 24.229 v5.3.0 (2002-12) IP multimedia call control protocol based on SIP and SDP, Stage 3 (Release 5)
- 3GPP TS 29.228 v5.1.0 (2002-09) IMS Cx and Dx interfaces, Signaling flows and message contents; (Release 5)
- Etc...

# Summary of course scope



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Signaling Protocols

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## SIP Features

- Part of IETF toolkit
  - Reusing other protocols & mechanisms: HTTP, etc.
  - Flexible
  - Extensible
- Moves intelligence to End System entities
  - End-to-end protocol
- Interoperability
- Scalability (although some state in network)
- Service creation easy
- URLs and Addresses reusage
- Same routing as SMTP
- Reuses infrastructure (all applications will use SIP entities for different services)

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Signaling Protocols

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# SIP overview

- Simplicity
  - Ascii based - simple tools for development
  - Lower call setup time than in H.323
  - basic protocol + extensions structure adopted
- Caller preferences, Ability to support many media types
- Runs over UDP or TCP (or SCTP)
- Used between both service and call control entities
- Has been adopted for 3G IP Multimedia signaling
- Originally subscriber signaling, proposed also as network to network signaling
- A lot of development during the last 3...4 years!

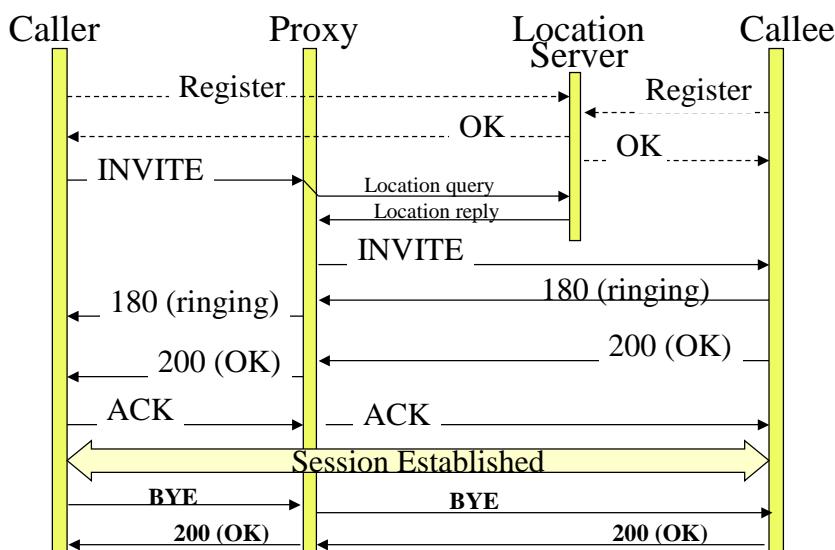
## SigComp allows compression of Signaling Messages

- RFC 3320 and RFC 3321 specify a layer between the signaling transport and the signaling application
- Uses Global and User Specific Dictionaries to store state data over many SIP sessions
- Overall Compression/decompression architecture is based on a bytecode driven Universal Decompression Virtual Machine
  - Bytecode can be sent in SigComp messages by the Compressor
  - leaves a lot of detail for the implementor

# Sip Entities

- User Agents
  - Can act as client and as server
- Servers:
  - Redirect Servers
    - Send back alternative location of the user (similar as HTTP servers)
  - Proxy servers
    - Act on behalf of client (forwards requests)
    - Forking proxies
  - Registrars
    - Accepts registrations
  - Location Servers (not part of SIP architecture)
    - Gives back location of user (received from registrars)
    - E.g. HSS in 3GPP IMS architecture
    - Protocol between Location server and SIP server not defined by SIP specs (e.g. LDAP)

## Basic SIP call setup and release



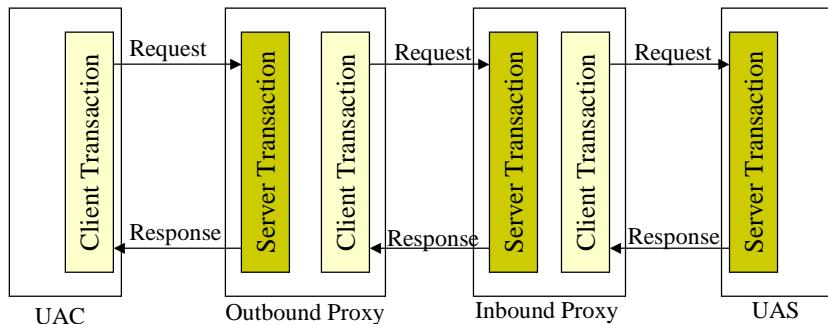
# ”Basic call” Example

- Caller sends INVITE
- Callee can accept, reject, forward the call
- If the callee accepts the call: responds with an optional provisional (1xx), and a final ( $\geq 200$ ) response
- The caller confirms final response via ACK
- Conversation
- Caller or callee sends BYE
- BYE is acknowledged by 200 OK
- Low call setup times, post dial delay: 1.5 RTT !

SIP messages have headers and a body

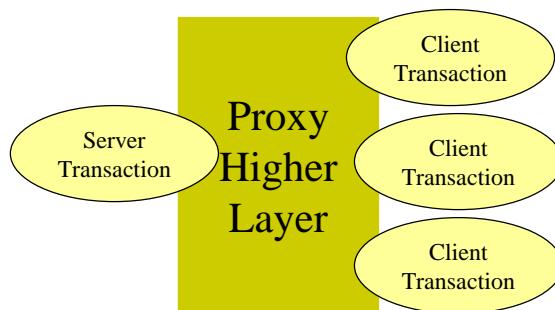
- Headers carry control information and are processed e.g. by Proxies
- Body can be e.g. SDP – session description protocol
  - end-to-end information (cmp H.245) describing session requirements e.g. coding methods, etc
- Message delivery is transaction oriented= have request + reply: e.g INVITE+200 OK

## User Agent is split into User Agent Client (UAC) and User Agent Server(UAS)



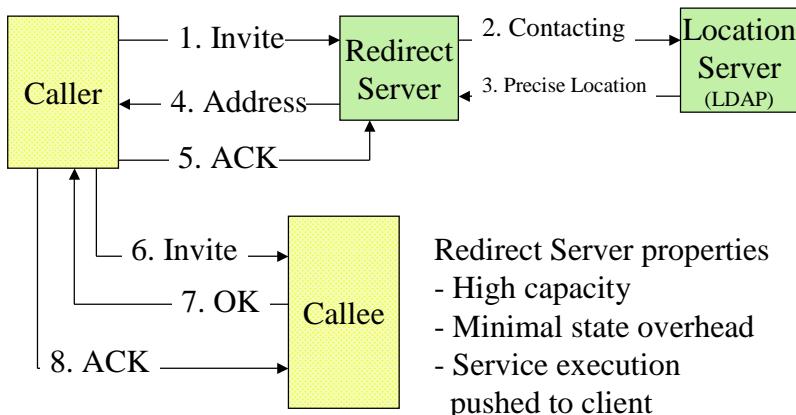
- All Communication follows this transaction model, except 2xx and ACK.
- Server transactions filter incoming requests, absorbing retransmissions
- Only UAS can generate 2xx and only UAC can generate ACK.

## A Stateful Proxy can fork a transaction



*Forking = multicast of INVITES to N addresses*

# Redirect Server pushes processing to clients



## Stateful Proxy vs Stateless Proxy

- Maintains call context
  - Replicates UAS/UAC to process requests and responses
  - Call state and transaction state can be maintained
  - Forking proxies require state
  - TCP proxies must be stateful for reliability
  - Enhanced services require state
  - Can collect charging info
- No call context
  - Response is not based on UA replication
  - Provides client anonymity
  - Restricted gateway access
  - High processing capacity
  - Easier to replicate than the stateful proxy
  - Also semi-stateful is possible

*UA = User Agent, UAC = UA Client  
UAS = UA Server*

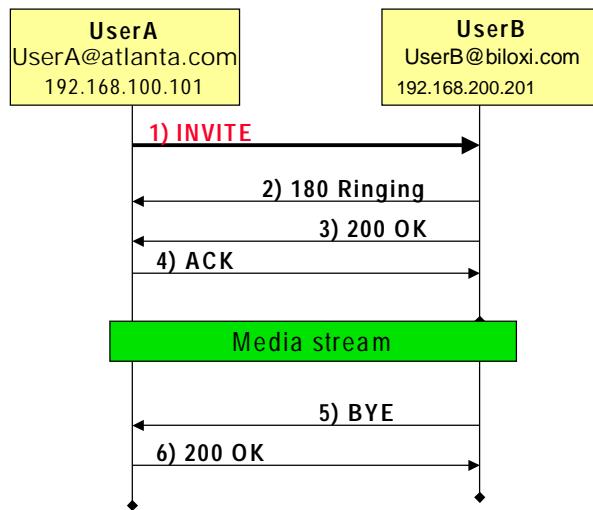
# Some SIP issues

- Parties can release the “call session” but since they have obtained each others IP-addresses, they can continue sending media streams to each other!!
  - How to push INVITE to B-party, if B-party does not have a permanent IP address which is most often the case!
  - Response messages (e.g. 180) are not reliably delivered. This may cause tear down of the call if it was initiated from ISDN
  - If BYE is lost, Proxy does not know that call has ended
  - Ascii coding increases the signaling overhead in Radio access
- } Integration of  
Proxy with  
Firewall and  
NAT
- PRACK  
method
- KeepAlive =  
re-INVITE mechanism

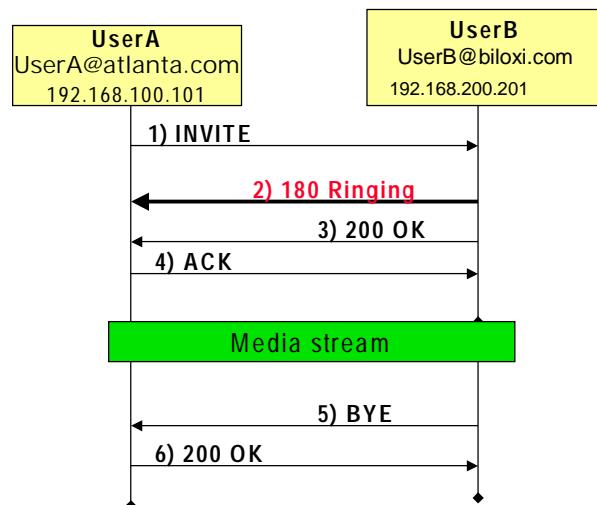
## Addressing

- **sip:user@host[parameters][headers]**
- SIP-addresses are like URLs, with prefix sip:  
which gives schema
  - sip:joe.smith@hut.fi
  - sip:joe.smith@hut.fi?subject=Protocol
  - sip:sales@hotel.xy;geo.position:=48.54\_-123.84\_120
- Address must include host, other parameters are optional (username, port, etc...)
- Email-addresses can be reused
- “Click-to-call” on web-pages, MM messages, etc... is easy implemented

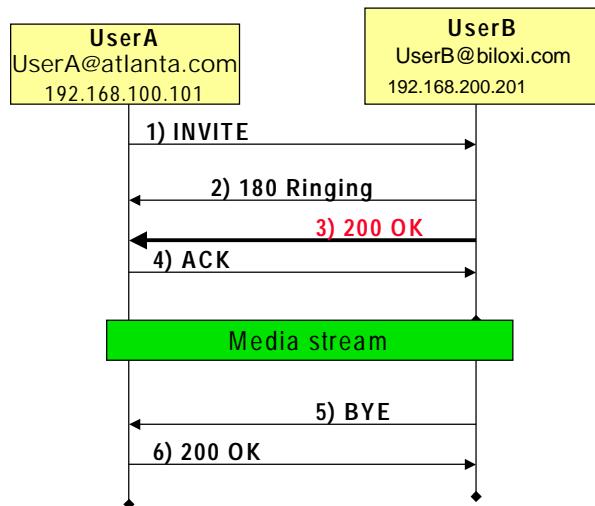
## ”Basic Call” call flow



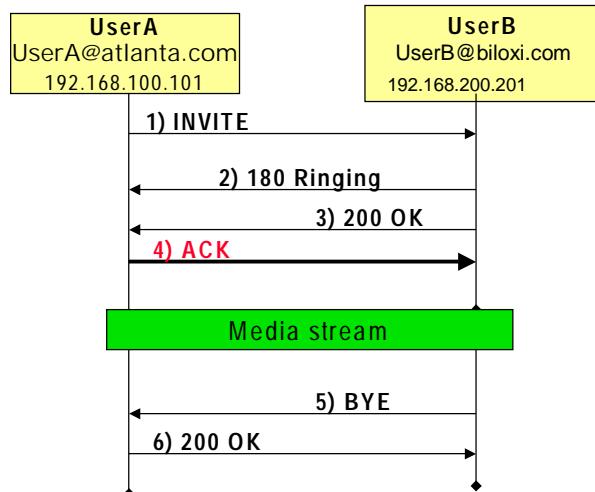
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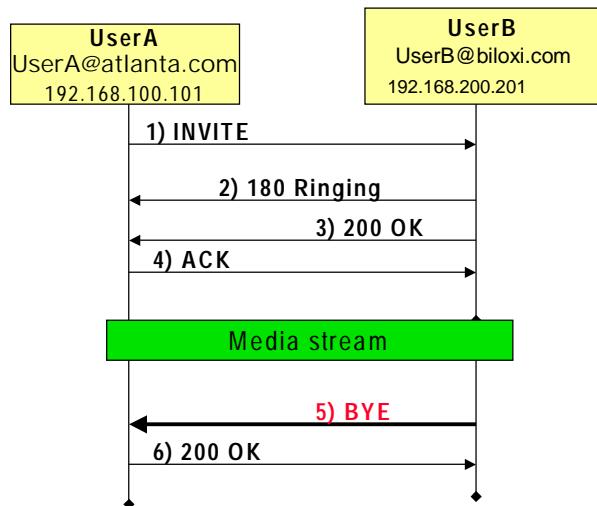
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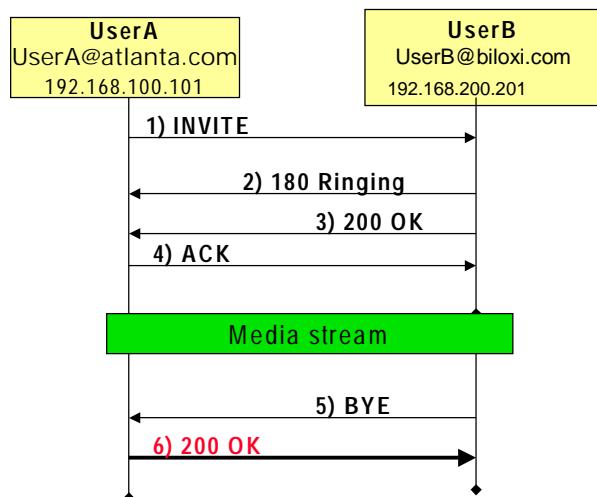
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## ”Basic Call” call flow



## ”Basic Call” call flow



# Requests invoke SIP methods

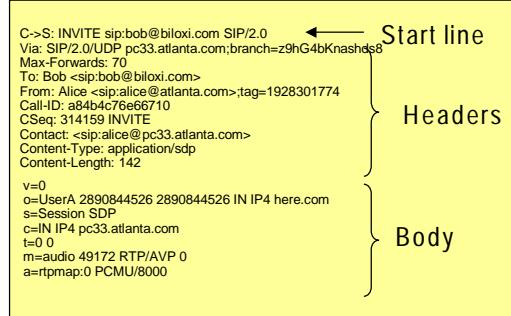
- SIP methods are invoked on servers when requests arrive:
  - A REGISTER request sends location information of users to Registrars, registers with the location service
  - An INVITE request invites a user to participate in a session or conference
    - The message body contains a description of the session (usually SDP)
  - ACK requests are used to confirm responses for INVITE, for reliable message exchanges
  - CANCEL requests cancel the pending request of the session
  - BYE requests are used to terminate active sessions
    - Any party of the session can send it
  - OPTIONS requests are used to query information about servers' capabilities
  - PRACK requests are used to confirm provisional responses

## SIP responses are classified by first digit

- HTTP look-alike
- Hierarchically organized three digit codes: status code - text associated with the code
- Provisional and final responses:
  - 1xx responses are informational messages e.g., 180 Ringing
  - 2xx response shows a successful transaction e.g., 200 OK
  - 3xx responses are redirect messages e.g., 301 Moved Permanently
  - 4xx responses indicate errors in requests e.g., 400 Bad Request
  - 5xx responses indicate server errors e.g., 500 Version not supported
  - 6xx responses indicate global failures e.g., 600 Busy everywhere

# SIP Message Format

- START-LINE
  - SIP version used
  - In requests: address and method used
  - In responses: status code
- HEADERS
  - Information about call
- BODY (payload)
  - Usually SDP message



## To and From header fields

- **To:** specifies the logical call destination
- **From:** specifies the logical call source
- **Present in all SIP messages**

```
INVITE sip:bob@biloxi.com SIP/2.0
Via: SIP/2.0/UDP pc33.atlanta.com;branch=z9hG4bKnashds8
Max-Forwards: 70
To: Bob <sip:bob@biloxi.com>
From: Alice <sip:alice@atlanta.com>;tag=1928301774
Call-ID: a84b4c76e66710
CSeq: 314159 INVITE
Contact: <sip:alice@pc33.atlanta.com>
Content-Type: application/sdp
Content-Length: 142
```

# Call-ID and CSeq header fields

- **Call-ID: It helps to uniquely identify a particular SIP dialog or registration**
  - It helps to match requests and responses
  - It helps to detect duplicated messages
- **CSeq: It is a number that uniquely identifies the transaction in a call**
- **Present in all SIP messages**

```
INVITE sip:bob@biloxi.com SIP/2.0
Via: SIP/2.0/UDP pc33.atlanta.com;branch=z9hG4bKnashds8
Max-Forwards: 70
To: Bob <sip:bob@biloxi.com>
From: Alice <sip:alice@atlanta.com>;tag=1928301774
Call-ID: a84b4c76e66710
CSeq: 314159 INVITE
Contact: <sip:alice@pc33.atlanta.com>
Content-Type: application/sdp
Content-Length: 142
```

# Content-Type and Content-Length header fields

- **Content-Type: It describes the media type of the message body**
- **Content-Length: The number of octets in the message body**
  - It is mandatory in all SIP messages.

```
INVITE sip:bob@biloxi.com SIP/2.0
Via: SIP/2.0/UDP pc33.atlanta.com;branch=z9hG4bKnashds8
Max-Forwards: 70
To: Bob <sip:bob@biloxi.com>
From: Alice <sip:alice@atlanta.com>;tag=1928301774
Call-ID: a84b4c76e66710
CSeq: 314159 INVITE
Contact: <sip:alice@pc33.atlanta.com>
Content-Type: application/sdp
Content-Length: 142
```

# Max-Forwards

- **Max-Forwards field must be used with any SIP method**
- **It limits the number for proxies or gateways on the way of SIP message to the destination.**

```
INVITE sip:bob@biloxi.com SIP/2.0
Via: SIP/2.0/UDP pc33.atlanta.com;branch=z9hG4bKnashds8
Max-Forwards: 70
To: Bob <sip:bob@biloxi.com>
From: Alice <sip:alice@atlanta.com>;tag=1928301774
Call-ID: a84b4c76e66710
CSeq: 314159 INVITE
Contact: <sip:alice@pc33.atlanta.com>
Content-Type: application/sdp
Content-Length: 142
```

## VIA header indicates path taken by the request so far

- **Branch parameter is used to detect loops**
- **Contains transport protocol, client's host name and possibly port number, and can contain other parameters**

```
INVITE sip:bob@192.0.2.4 SIP/2.0
Via: SIP/2.0/UDP server10.biloxi.com;branch=z9hG4bK4b43c2ff8.1
Via: SIP/2.0/UDP bigbox3.site3.atlanta.com;branch=z9hG4bK77ef4c2312983.1
;received=192.0.2.2
Via: SIP/2.0/UDP pc33.atlanta.com;branch=z9hG4bKnashds8
;received=192.0.2.1
Max-Forwards: 68
To: Bob <sip:bob@biloxi.com>
From: Alice <sip:alice@atlanta.com>;tag=1928301774
Call-ID: a84b4c76e66710
CSeq: 314159 INVITE
Contact: <sip:alice@pc33.atlanta.com>
Content-Type: application/sdp
Content-Length: 142
```

## Record-route and Route

- **Record-Route:** header is added by proxy, when proxy wants to stay in the route of all sip messaging
- **Route** is added by User Agent Client, after response come, with all Record-route headers in it (then UAC knows which relays want to stay on the signaling path)
- **NOT the same as Via:** headers

```
INVITE sip:callee@u2.domain.com SIP/2.0  
Contact: sip:caller@u1.example.com  
Record-Route: <sip:p2.domain.com;lr>}  
Record-Route: <sip:p1.example.com;lr>}
```

Inserted by proxies  
p1.example.com and  
p2.example.com.

```
BYE sip:callee@u2.domain.com SIP/2.0  
Route: <sip:p1.example.com;lr>,<sip:p2.domain.com;lr>}
```

UA can specify through which  
proxies this message must go

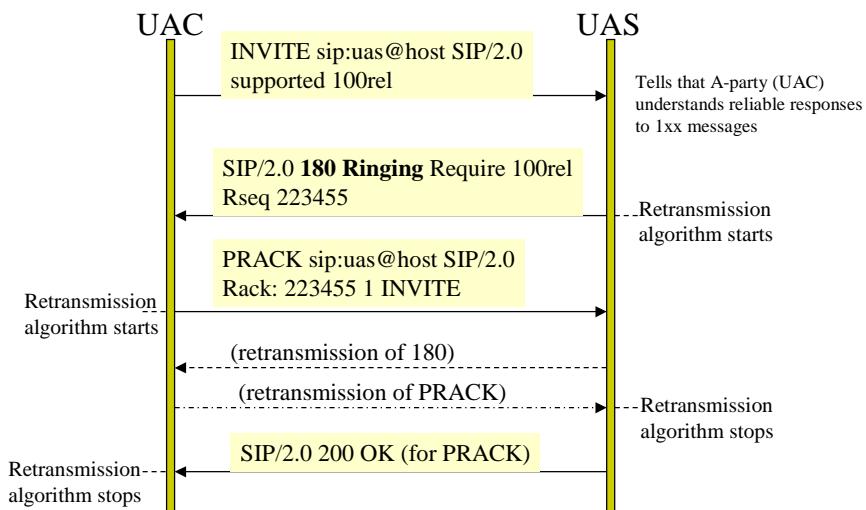
## SIP Extensions

- Needed to satisfy additional requirements
- Must conform to design rules
- SIP is not intended to solve every problem  
(another protocol might be used instead)

# Feature Negotiation (OPTIONS)

- *Supported* features can be specified in request and response
  - **Supported** UAC and UAS tell features they support
- *Required* features can be specified in request and response
  - **Require** UAC tells UAS about required options
  - **Proxy-Require** required options for proxy/redirect servers
  - Many extensions use Require and Proxy-Require to specify their support
- New methods can be added without changing the protocol
  - server can respond with **405 Not Supported**
  - returns list of supported methods in **Allow** header
  - client can ask which methods are supported using **OPTIONS**

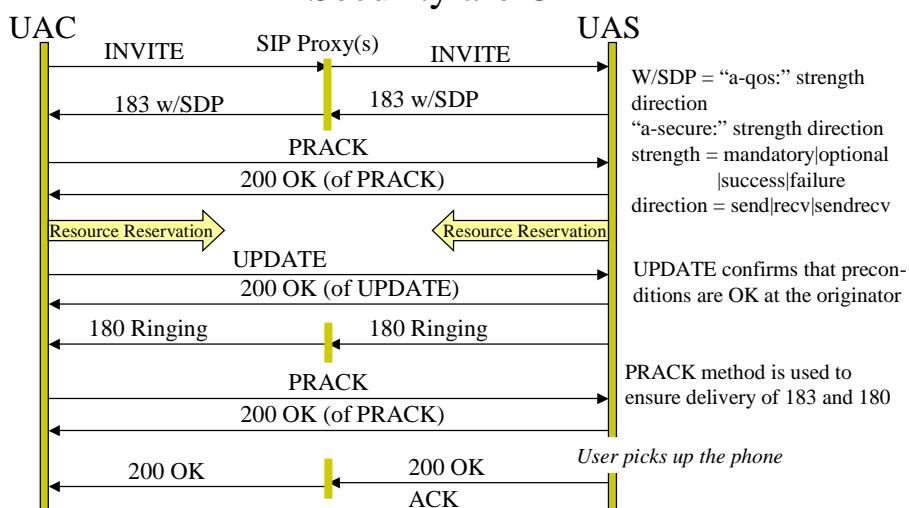
## Reliable Provisional response in SIP



# QoS support - UPDATE

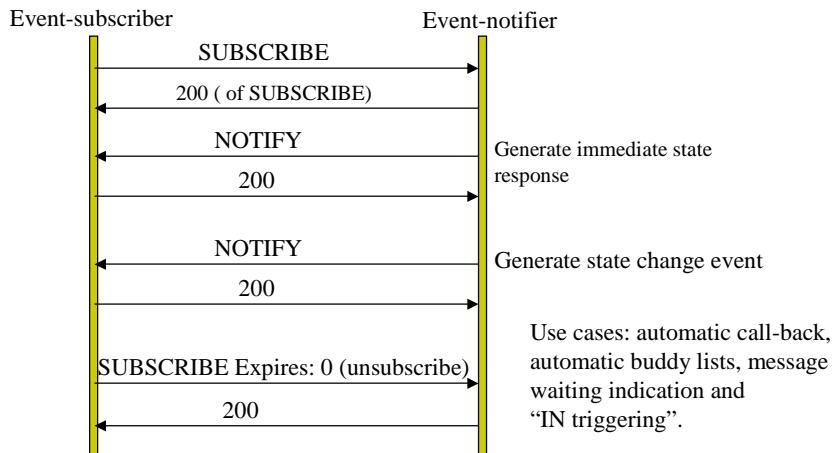
- Usage rule for 183-Session-Progress
  - If “a=qos” appeared in SDP, UAS sends 183 with “Session: qos” and SDP
- Additional Method - UPDATE
  - If “a=qos” appeared in SDP with “confirm” attribute, UAS/UAC sends UPDATE with success/failure status of each precondition.
  - 200 OK must acknowledge the UPDATE message
- Additional Status Response - 580 Precondition Failure
  - If a mandatory precondition can’t be met, UAS terminates INVITE with this status response

## Phone should not ring before QoS and Security are OK

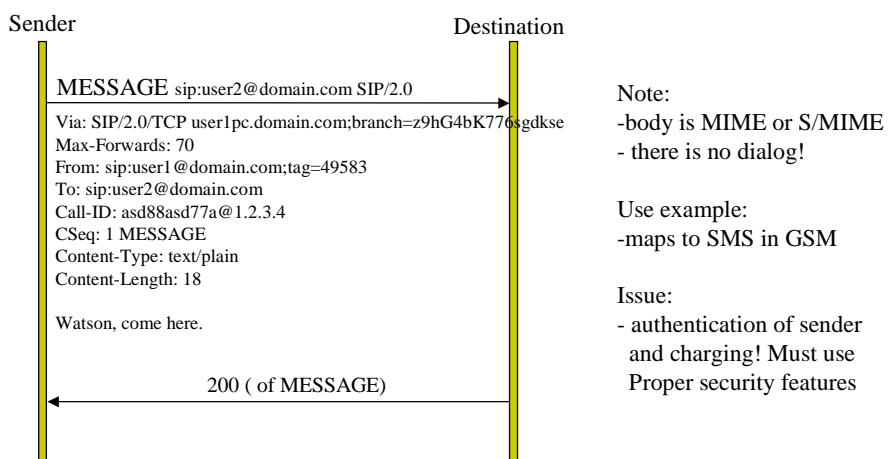


SDP = Session Description Protocol (carried in SIP message body)

## SIP event notifications tell about remote significant events to the local party



## SIP MESSAGE provides Instant Messaging capability in Pager mode



# More SIP extensions

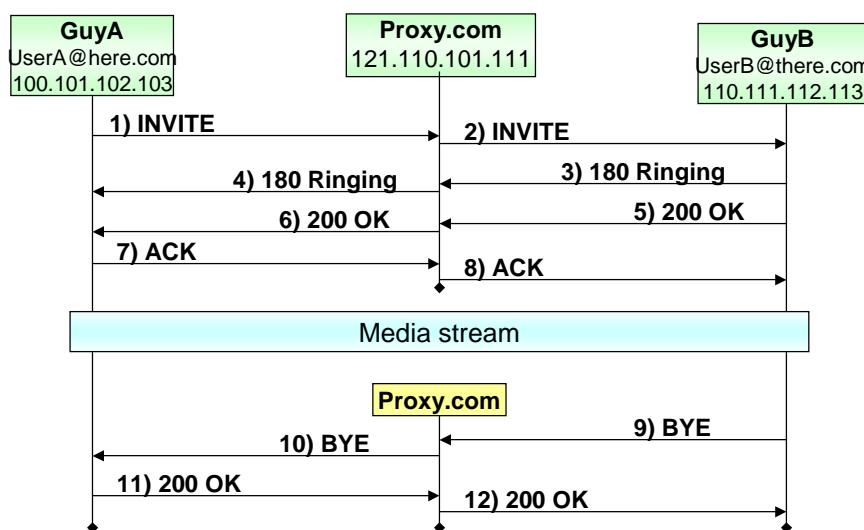
- MESSAGE
  - For instant messaging
- INFO
  - To transport mid-session information (very useful in SIP-PSTN gateways)
- Automatic configuration
  - DHCP or Service Location Protocol (SLP)
- Caller Preferences
  - New headers: Accept-Contact, Reject-Contact, Request-Disposition
- REFER
  - For session transfer (Refer-To: and Referred-By: )
- ...

## Call Setup Examples based on Generic SIP

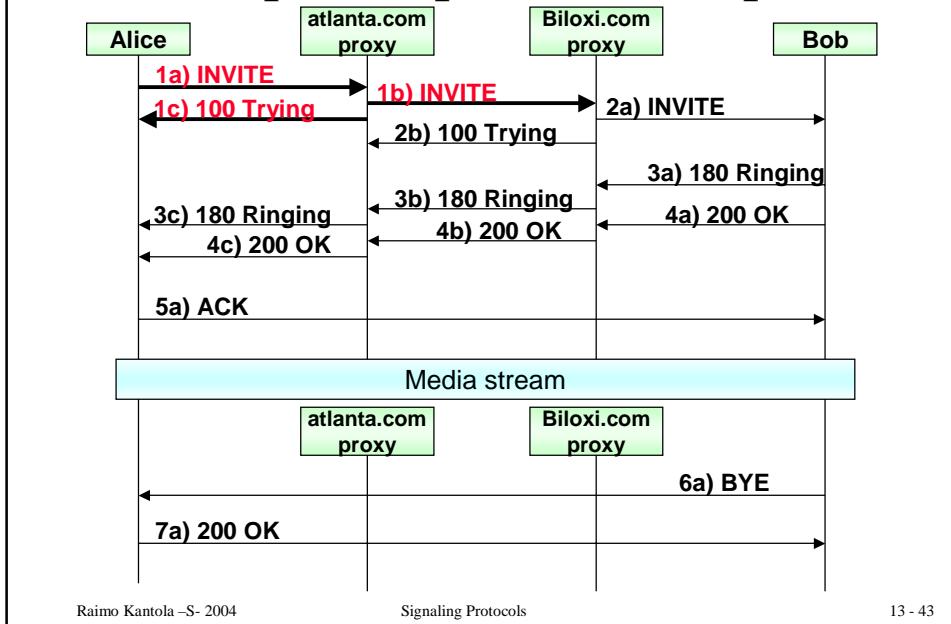
# Registration example with SIP



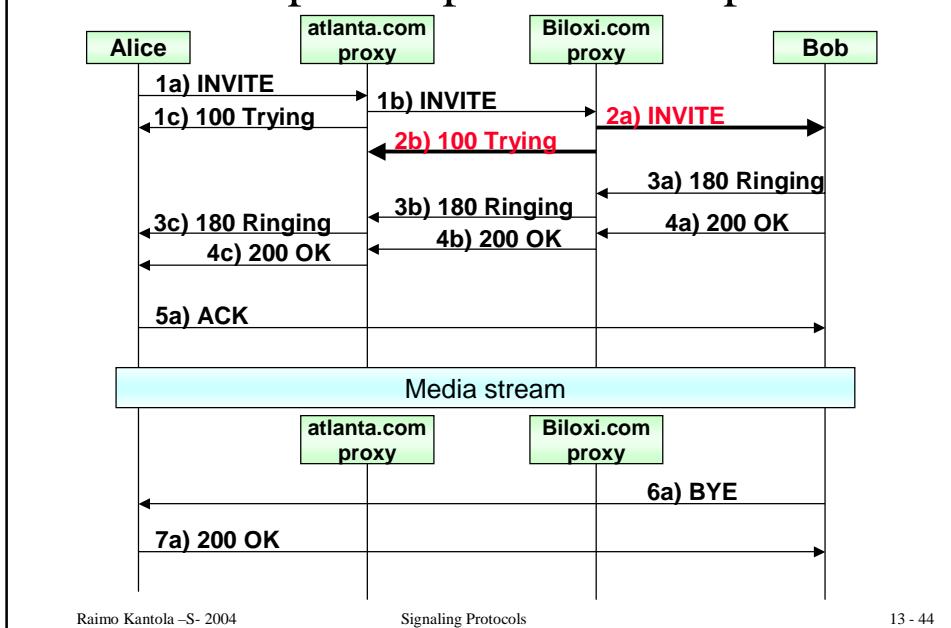
# Call Setup example with one proxy



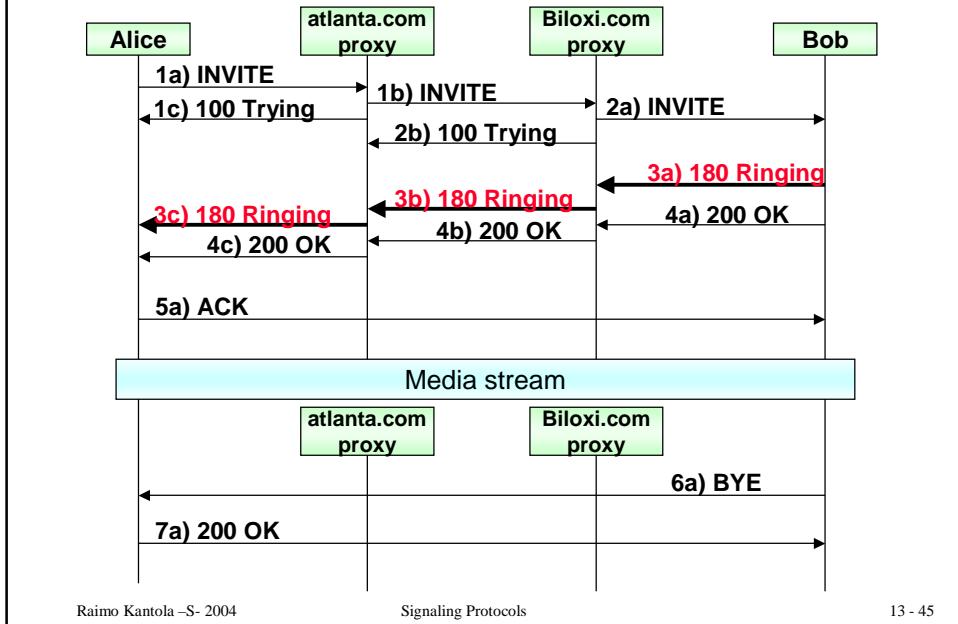
# Call Setup example with two proxies



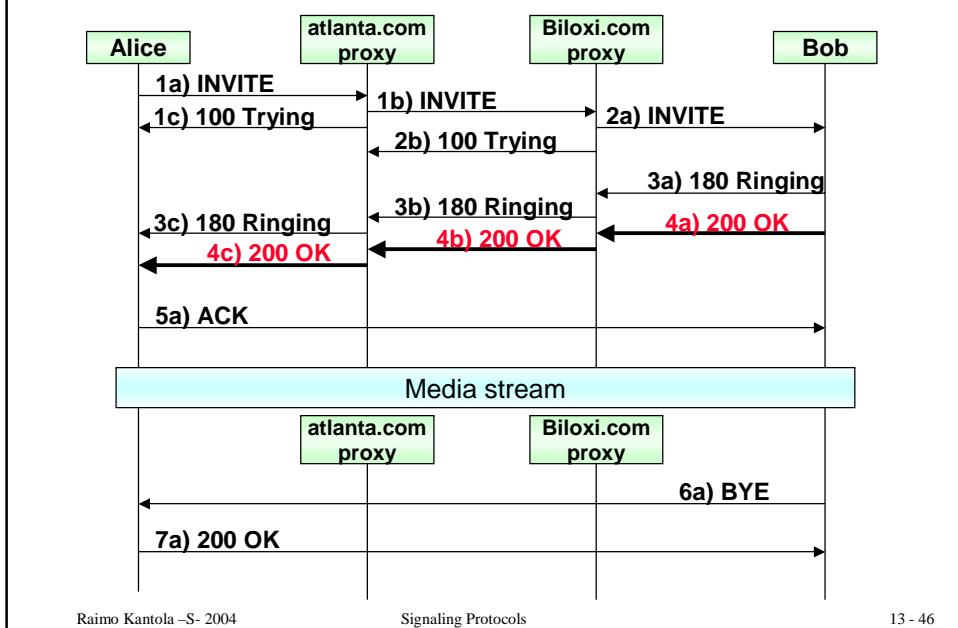
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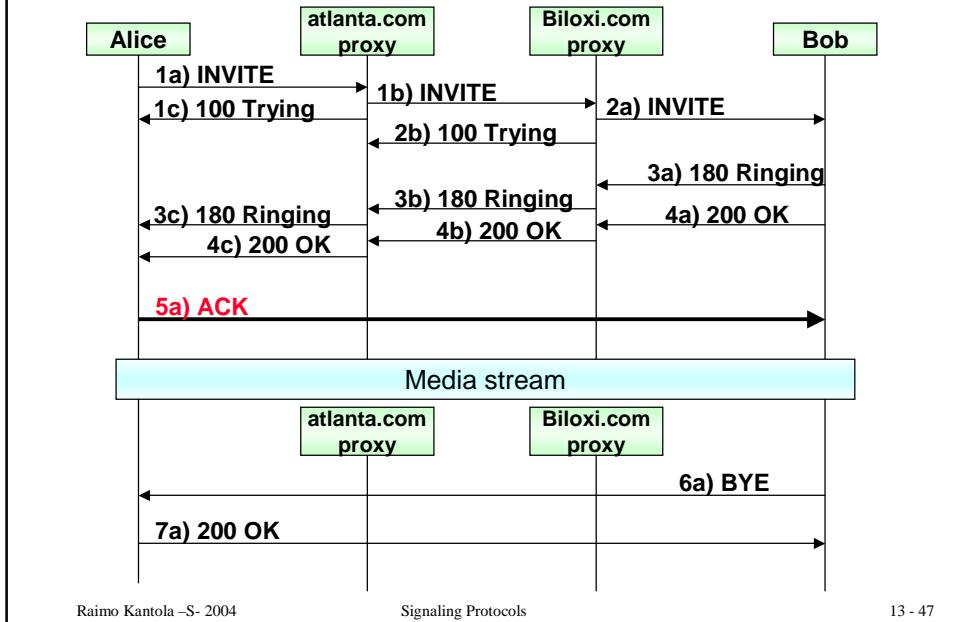
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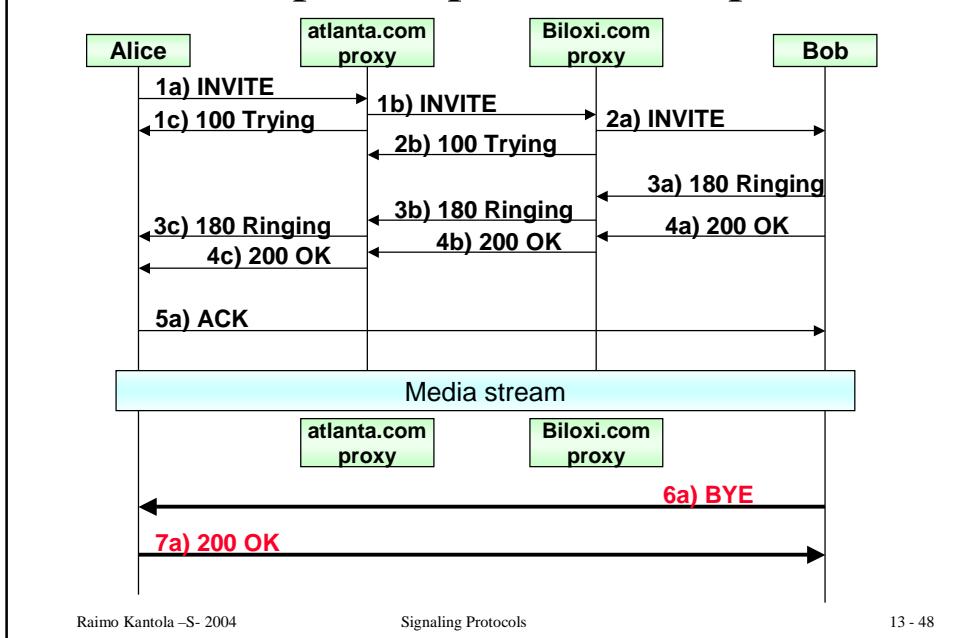
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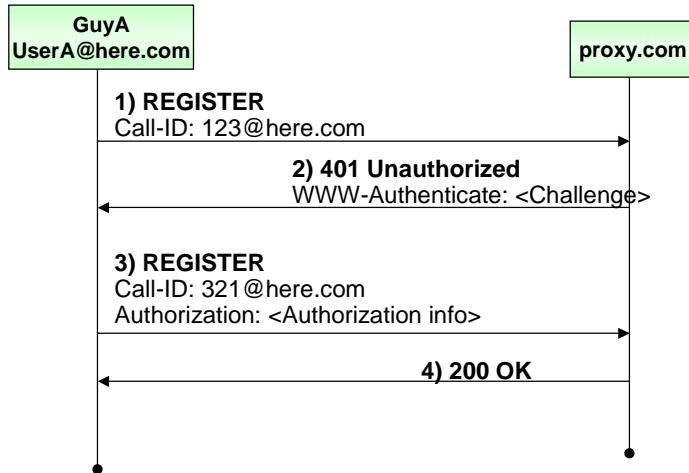
## Call Setup example with two proxies



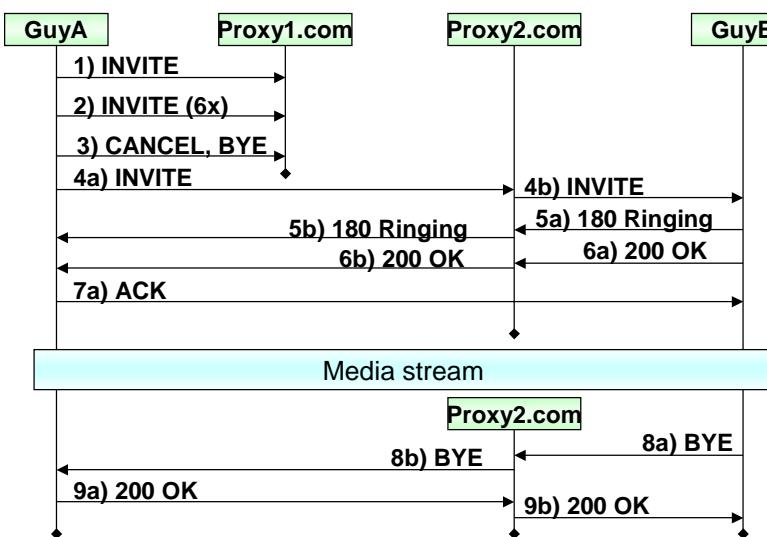
## Call Setup example with two proxies



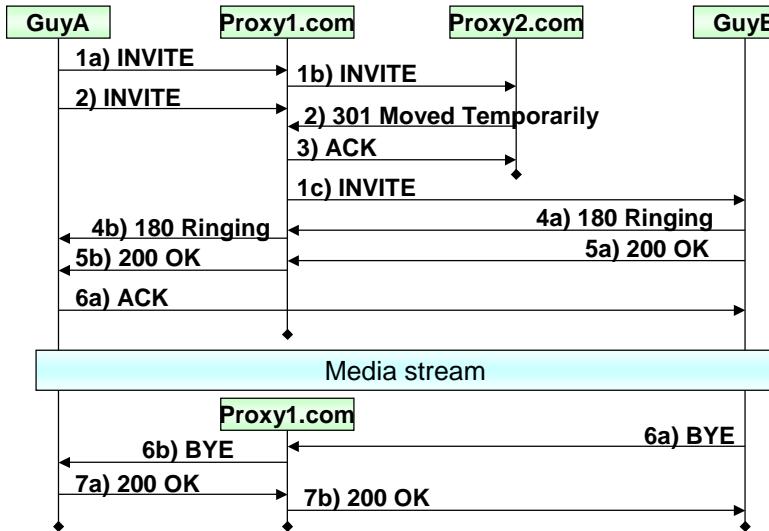
## Registration example with SIP authentication



## Call Setup example with a non-working proxy



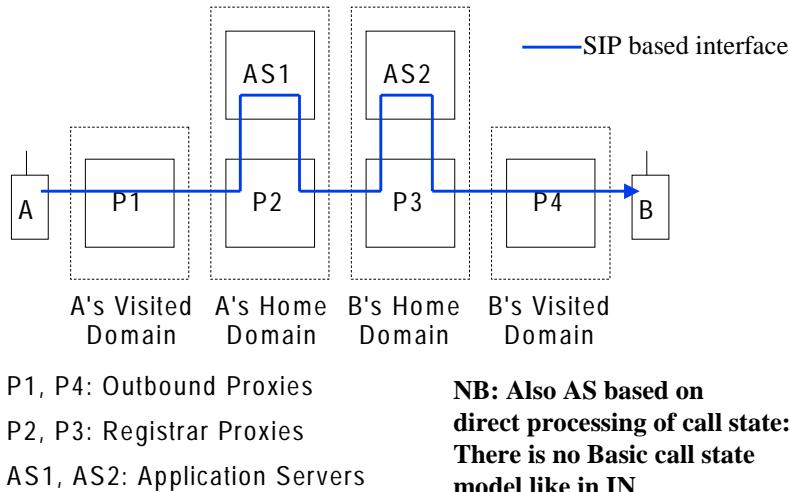
## Call Setup example with a Redirect server



## Services use many protocols

- New services and more flexible service creation should differentiate IP Communications Network from PSTN
- Services should combine different forms of communication, thus multiple protocols are needed:
  - SIP for media sessions and session related services, subscriptions and notifications?, messaging?
  - HTTP for web and transactions
  - SMTP for e-mail
  - RTSP for media streaming
- The use of these protocols is orchestrated by the service logic: context is set up using SIP.

# Routing and Service Model in 3G



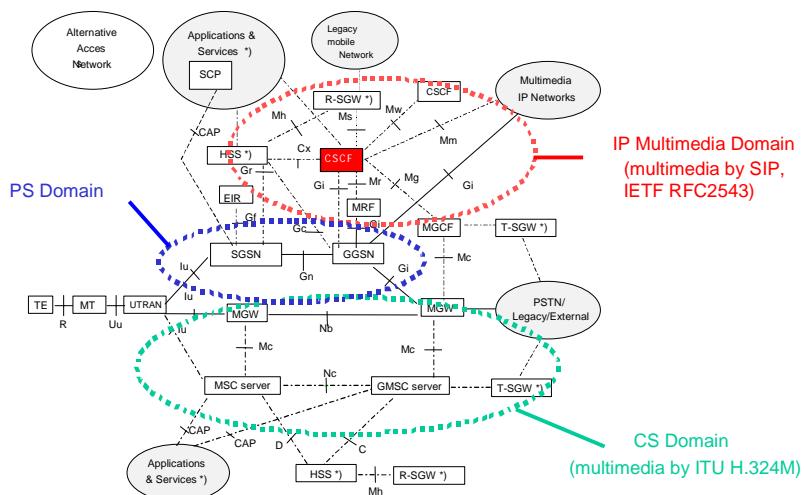
## SIP Entities & Service Capabilities

- User Agent (= UAC + UAS)
  - Can run services, such as forwarding, filtering etc.
  - Not always connected (out of coverage/battery etc.)
- Redirect Server
  - Can do services that require only Request-URI change, e.g. translation, parameter addition etc.
- Proxy Server
  - Can change certain headers and stay in the signaling path
  - Forking, actions based on responses
- Back-to-Back User Agent (=both ways User Agent)
  - Can e.g. issue requests to a call leg or modify SDP
  - In many cases necessary

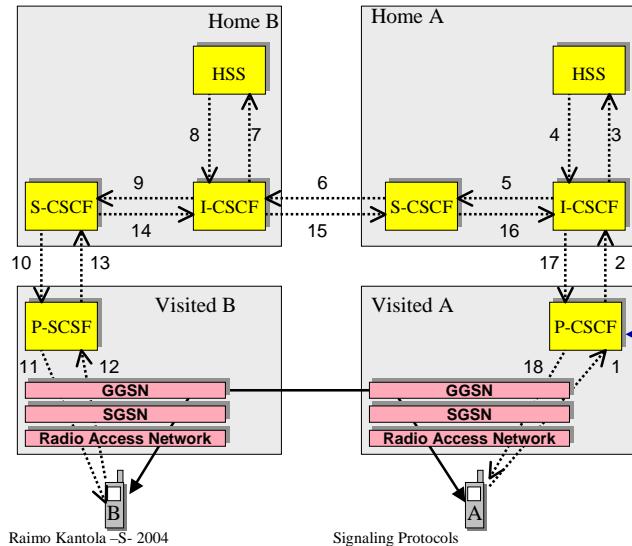
# Application Server in 3G?

- Fuzzy Definition but has SIP+ interface!
- Can be a Redirect or Proxy Server or Back-to-Back UA
- The key is that it should be *programmable*
  - Routing based on service logic: what to do when user not registered or busy
  - URI translation: Reachability chains
  - Interfaces to other protocols: HTTP, SMTP, RTSP etc.
- Can be single purpose boxes, or multi-purpose boxes, or controllers who orchestrate things

## 3GPP Network Model (preliminary: ...)

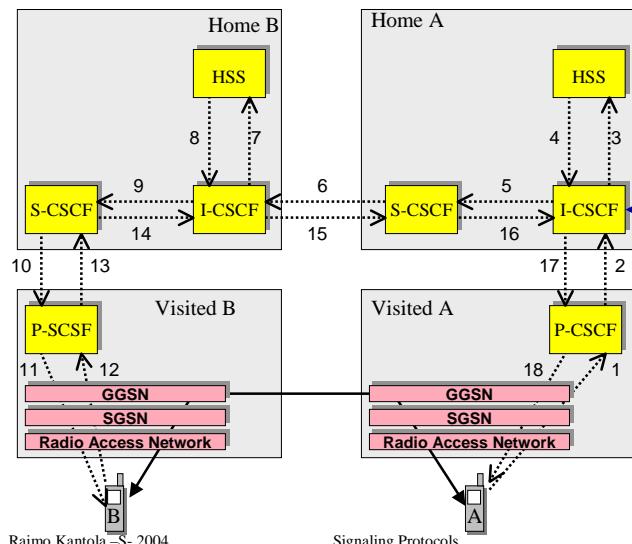


# Different Kinds of CSCFs



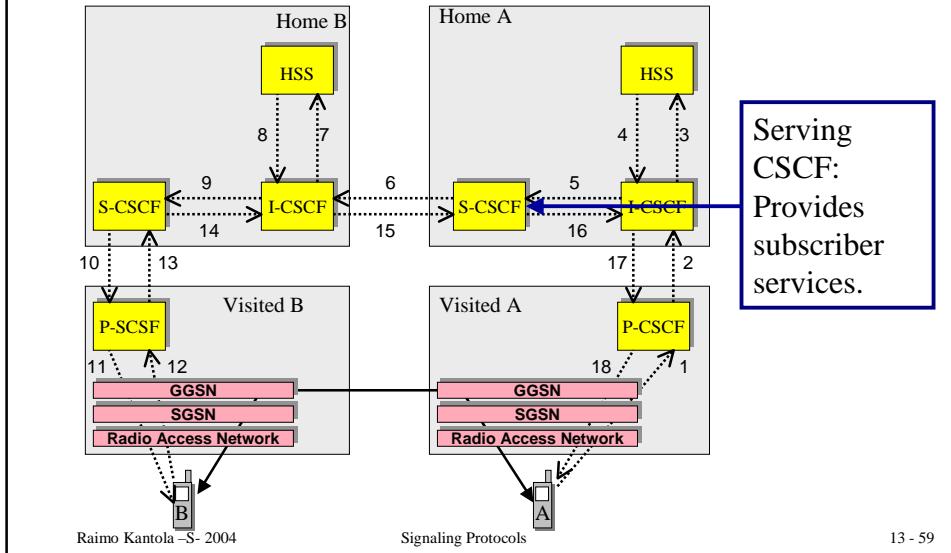
**Proxy CSCF:**  
Provides emergency service breakout, triggers for locally-provided services, and number normalizing (per local dialing plan)

# Different Kinds of CSCFs

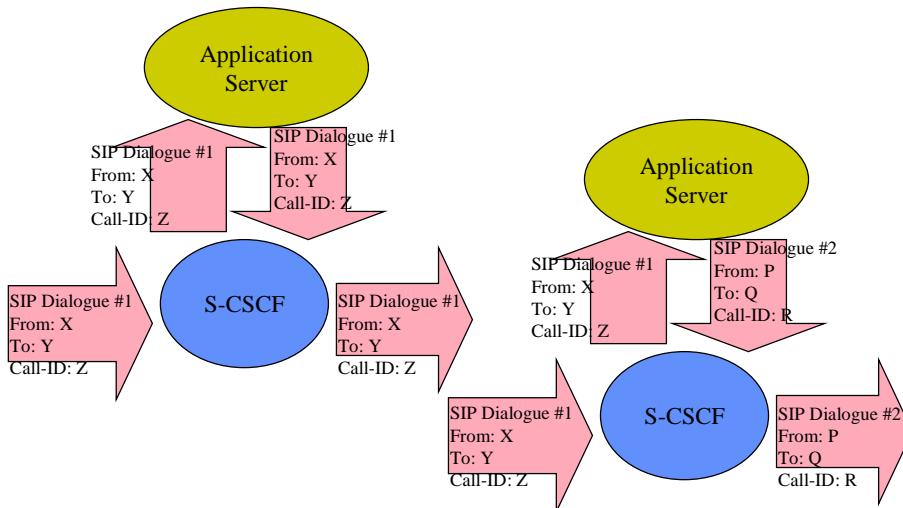


**Interrogating CSCF:**  
Queries the HSS to find the correct S-CSCF.  
First point of contact for incoming call signalling.

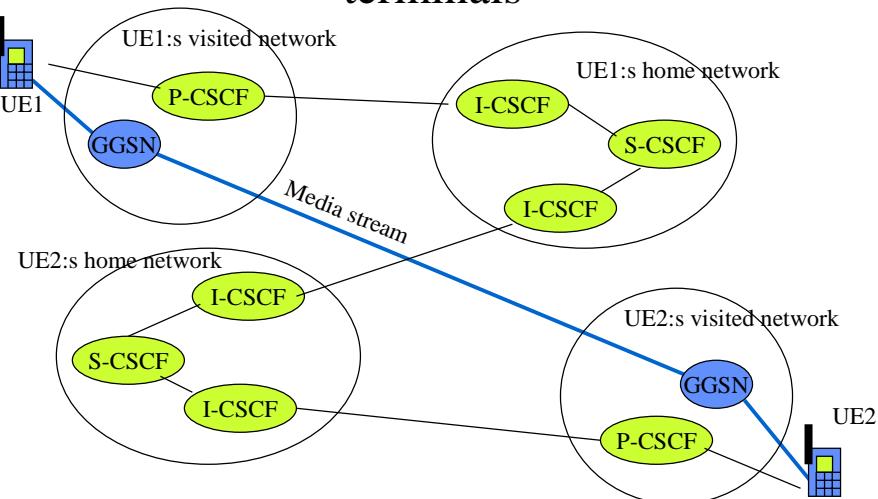
# Different Kinds of CSCFs



## SIP Proxy vs B2BUA

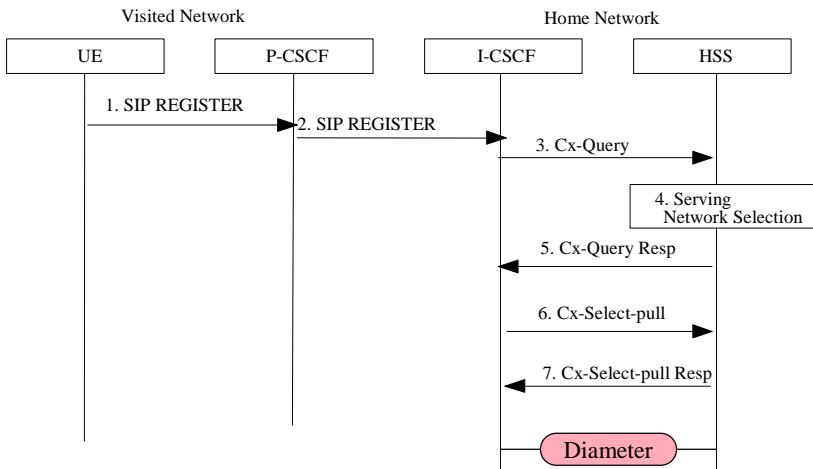


## Overview of routing between two mobile terminals

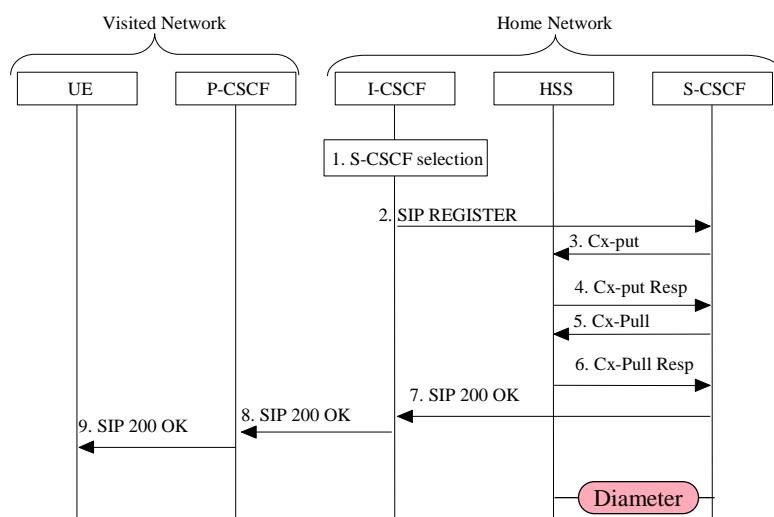


## Appendix B – 3GPP IMS call flows

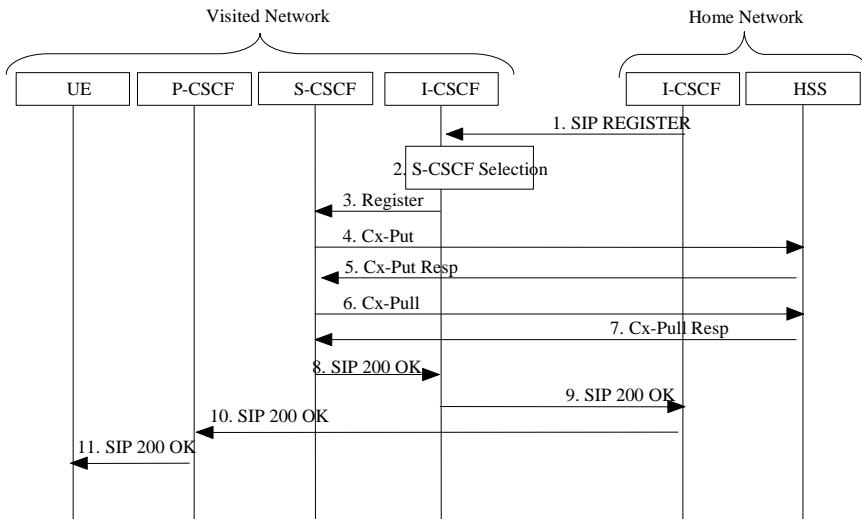
# IP Multimedia Registration 1.



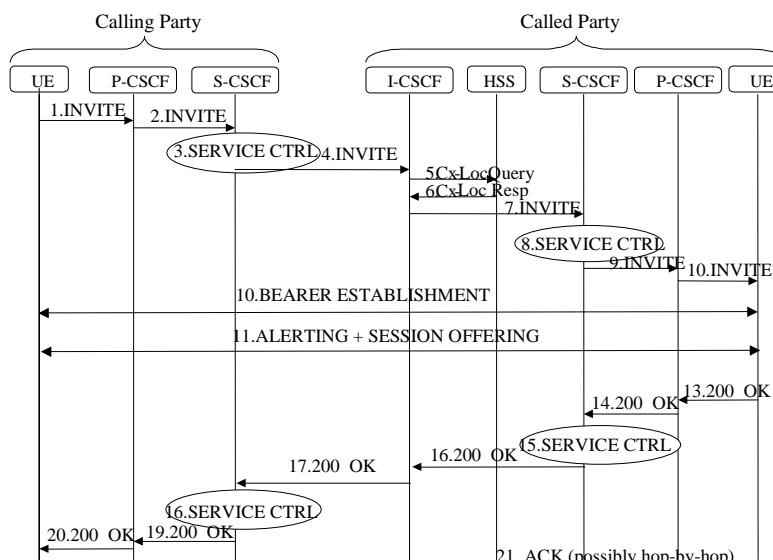
## IMS Registration 1a. - S-CSCF in home network



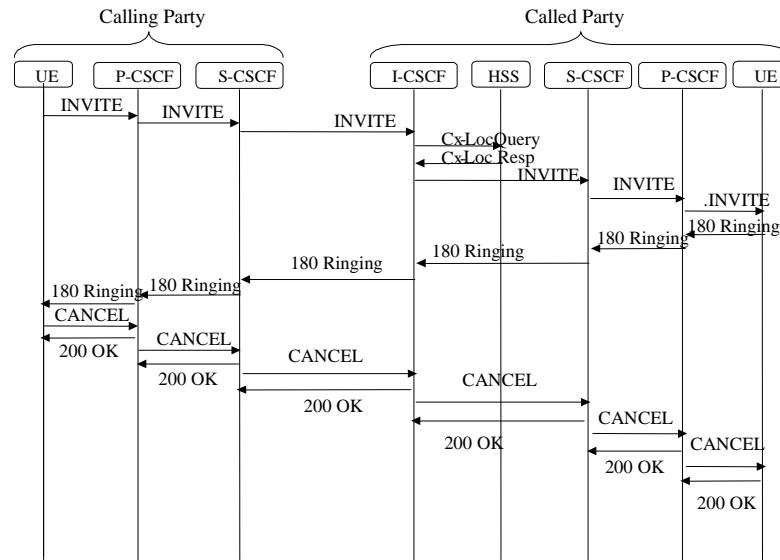
## IMS Registration 1b. - S-CSCF in visited network



## Mobile to Mobile Call



# Call flow examples 1. - no answer

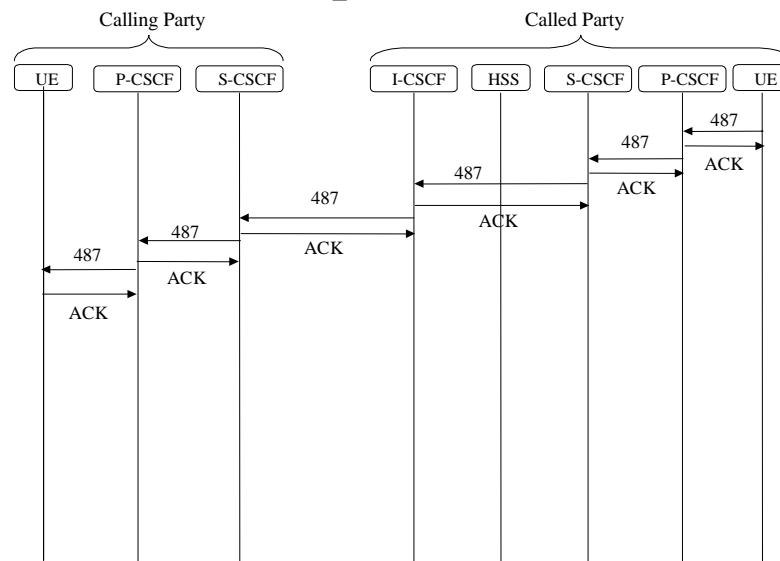


Raimo Kantola -S- 2004

Signaling Protocols

13 - 67

# Call flow examples 1. - no answer 2.

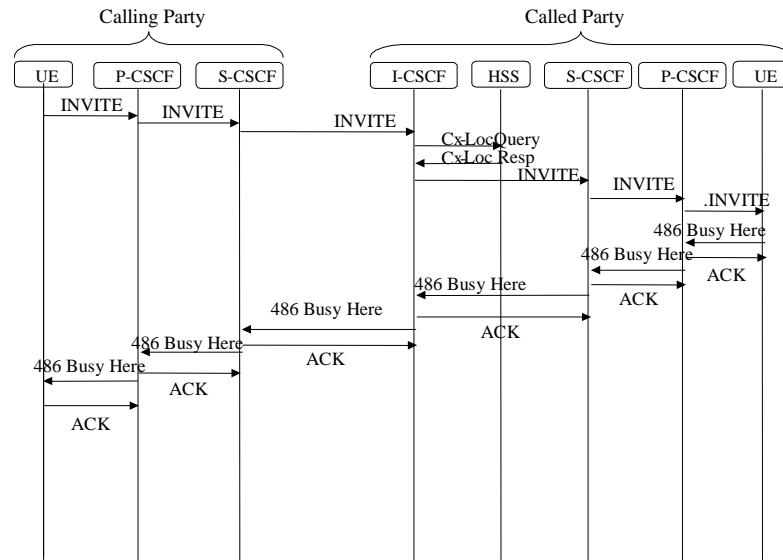


Raimo Kantola -S- 2004

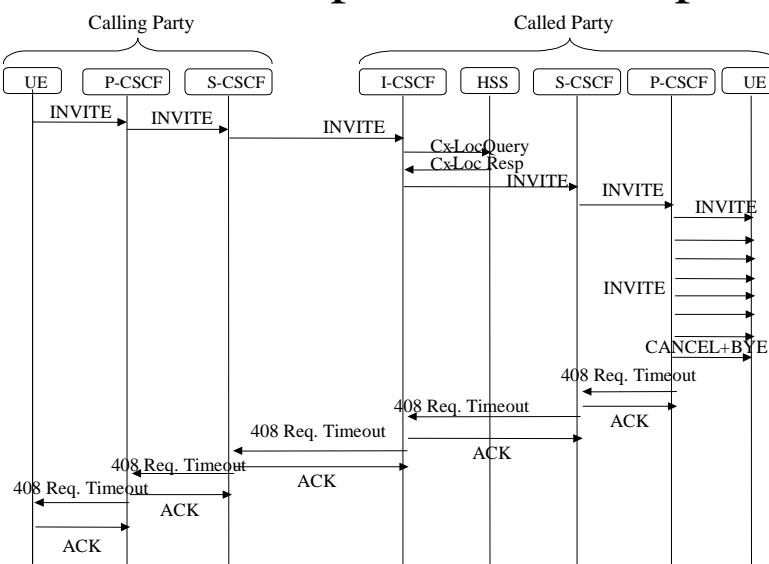
Signaling Protocols

13 - 68

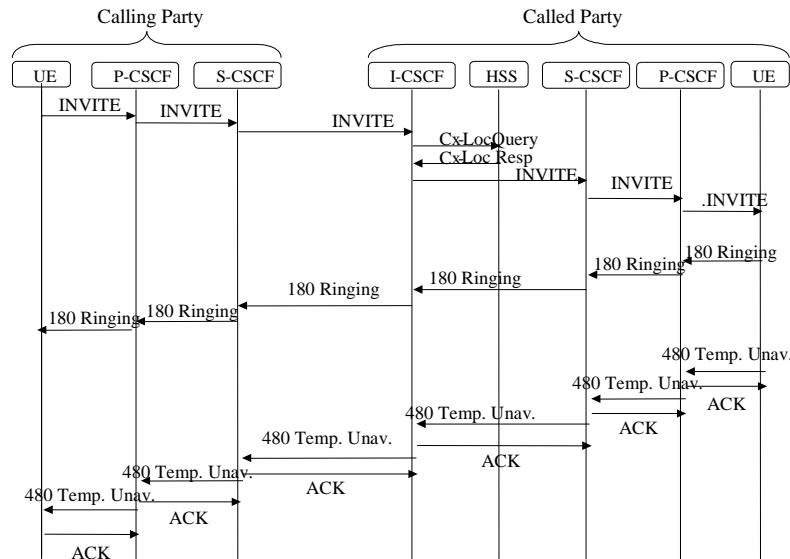
## Call flow examples 2. - busy



## Call flow examples 3. - no response



## Call flow examples 4. - temporarily unavailable



## How to Program Services

- Call Processing Language
- SIP CGI
- SIP Servlets
- SIP JAIN
- Soft SSF and INAP/CAP
- Parlay
- OSA

$\Rightarrow$

There will be many competing ways to implement services!

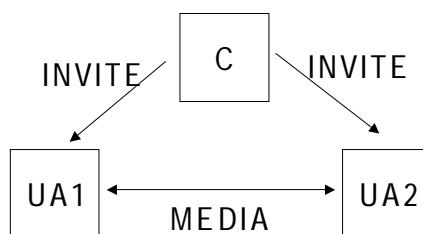
$\Rightarrow$  Whatever... Different abstraction levels

The claim is that it should be as open as flexible as creating services in the web these days

# Server types for different services

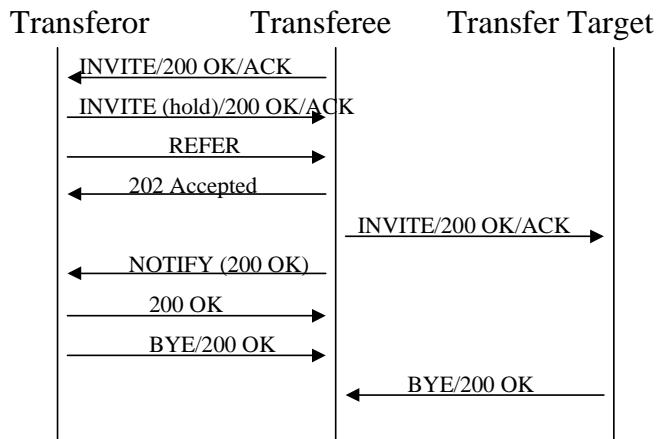
- Media Server (SIP, RTSP, HTTP)
    - Announcements, IVR, Voicemail, Media on demand
  - Conferencing Server (SIP)
    - Media mixer
  - Presence Server (SIP)
    - Users status info, capabilities, willingness to communicate
  - Web Server (HTTP), E-mail Server (SMTP), Messaging Server (SIP?), Text-to-Speech Server etc.
  - Controller Server
    - Co-ordinates the overall service
- => Server resources can be addressed by URLs, no need for tight coupling a la MGCP/Megaco

## Third Party Call Control is based on SIP

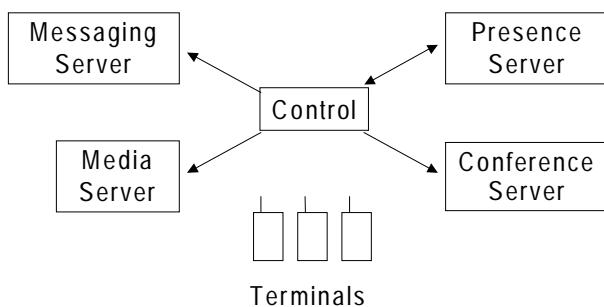


- Details are still to be solved in the IETF
- Powerful tool e.g. for inviting users to centralized conferences or sessions with a Media Server

# REFER and Call Transfer



## Auto-conferencing Service Example



1. One user orders the conference by filling a web form
2. Controller subscribes to each participants presence
3. When all available, send message or start IVR session to each participant to confirm willingness
4. Connect each participant to conference server. Play announcements to conference from media server when new parties join

# Problems

- How to make "service routing"?
- How to make service components really independent?
- If there is dependency, how to move parameters between the components?
- How to secure call release?